A Multi-Paradigm Concurrent Programming Model

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Promotors:
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Q: Why are there so many programming languages?

Different tools for different jobs

strict ↔ flexible

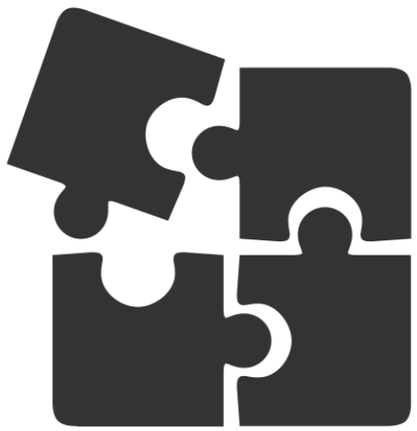
general purpose ↔ domain specific

fast programs ↔ fast development

Q: Why create a new programming language?

Research technique

Small language with features we want to study

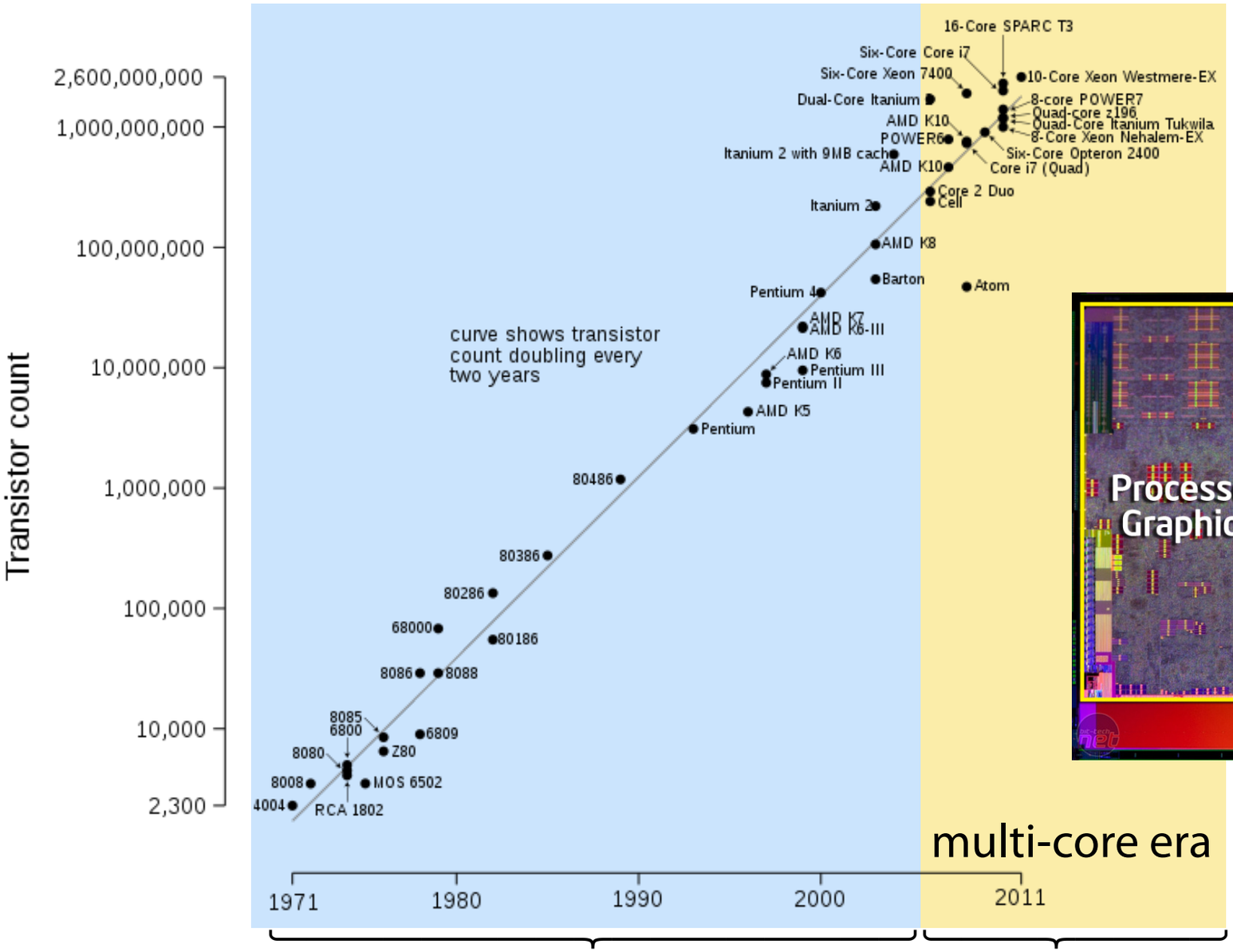


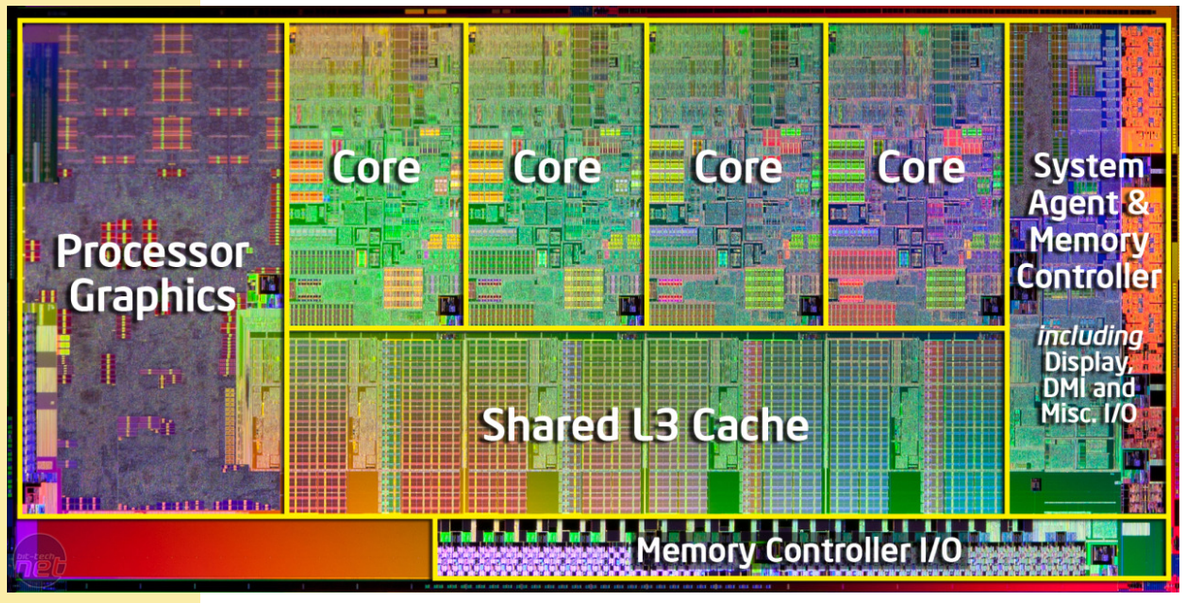
Can later be added to existing programming languages

Multi-core processors

Moore's law:

transistors on chip doubles every two years



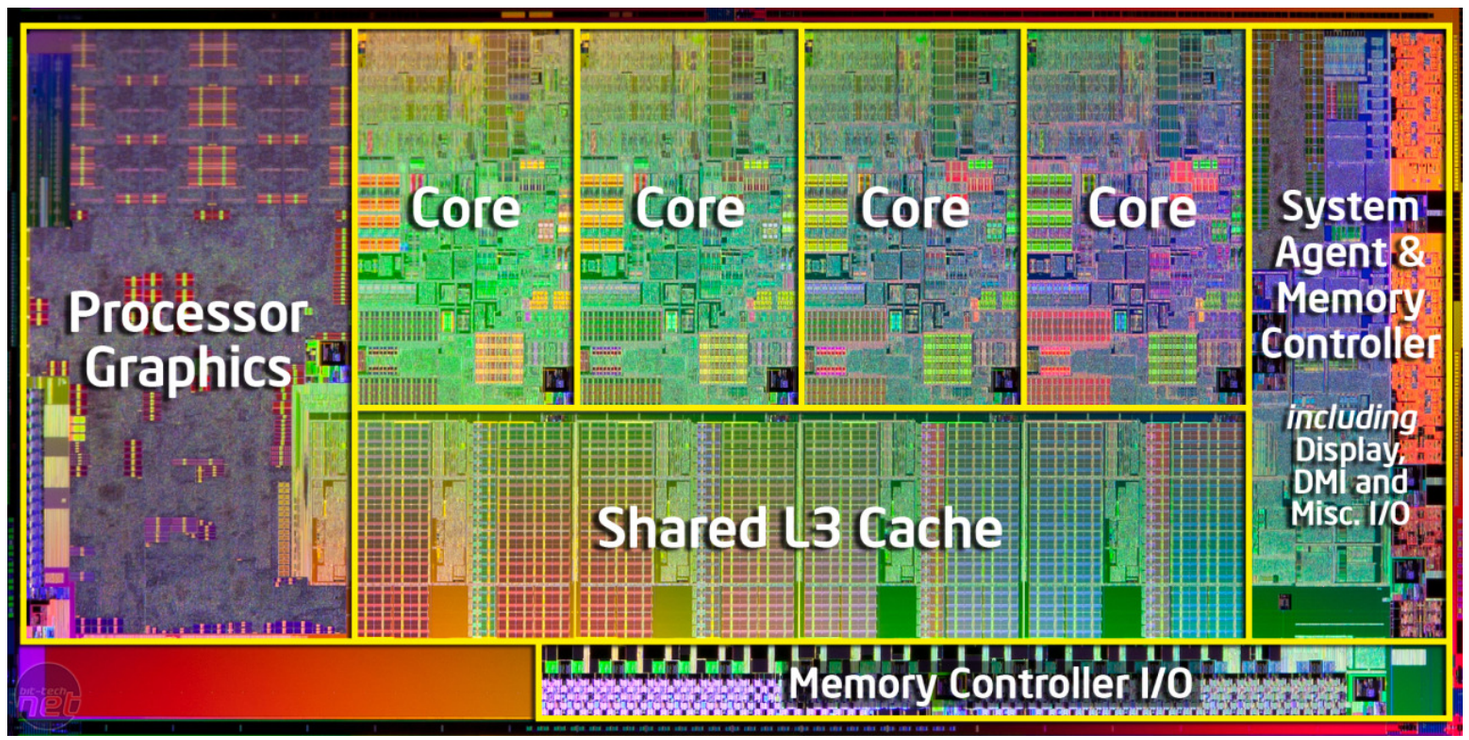


processors become faster because they execute instructions faster

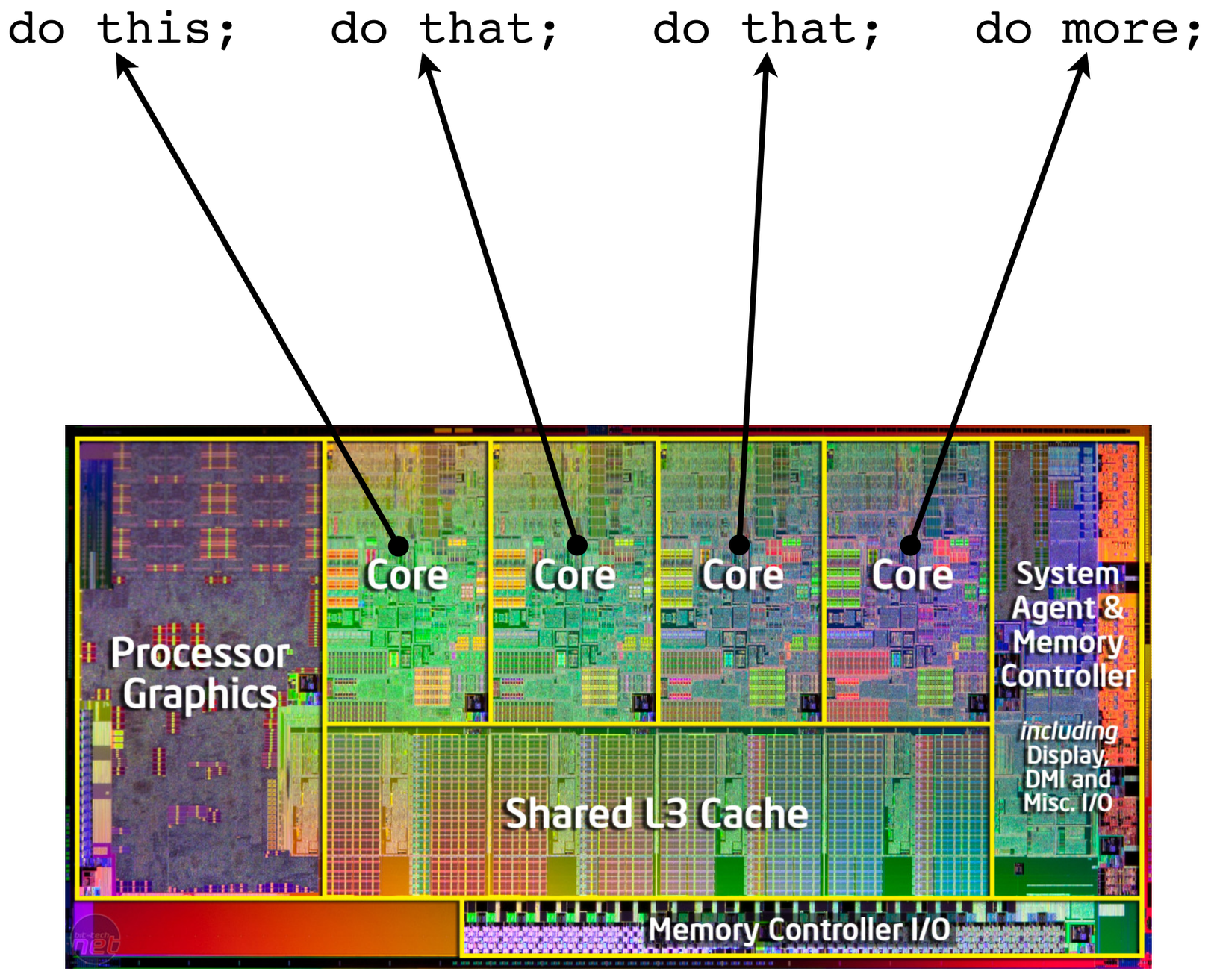
processors become faster because they execute more instructions in parallel

Sequential program

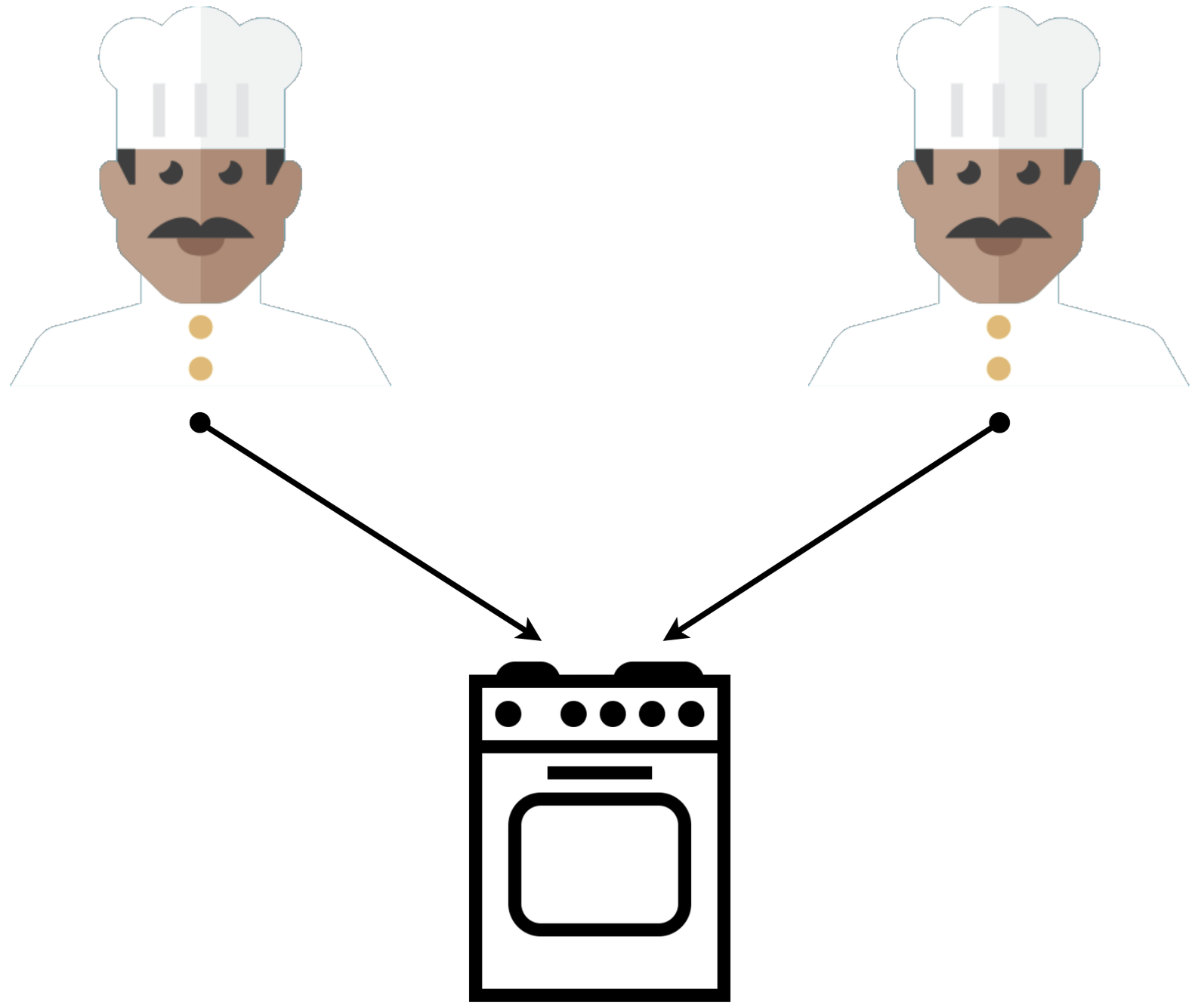
```
do this;
do that;
do that;
do more;
```



Program with concurrency



Concurrency is difficult



Concurrency is difficult



`diederik = 300` `yannick = 400` `nico = 500`

€20

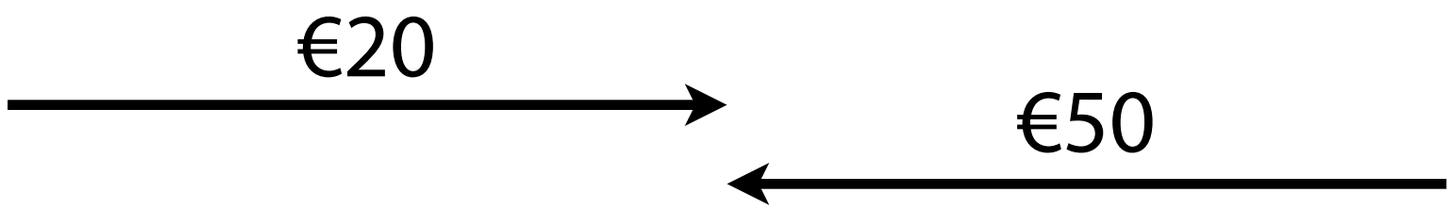
€50

```
def transfer(a, b, amount):
    a = a - amount
    b = b + amount
```

Concurrency is difficult



`diederik = 300` `yannick = 400` `nico = 500`



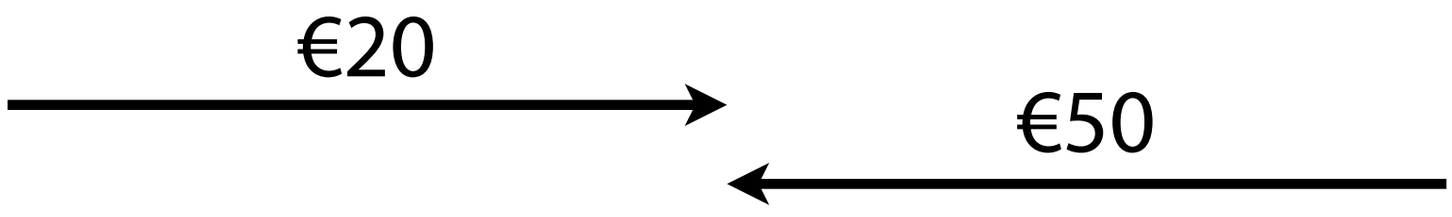

```
diederik = diederik - 20
yannick = yannick + 20
```

```
nico = nico - 50
yannick = yannick + 50
```

Concurrency is difficult



```
diederik = 300      yannick = 400      nico = 500
```

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Concurrency is difficult



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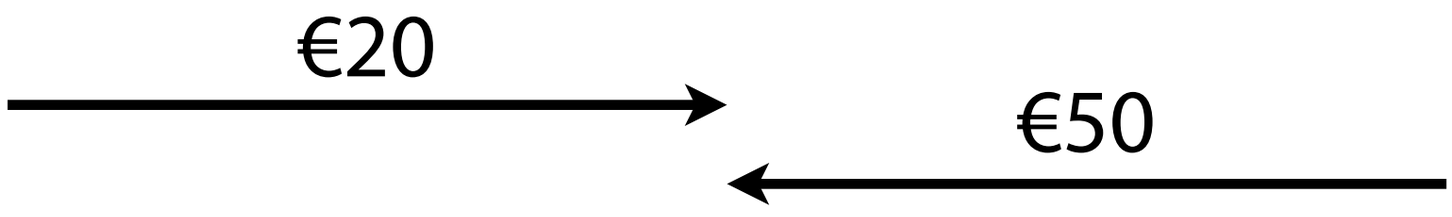


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```
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```

Concurrency is difficult



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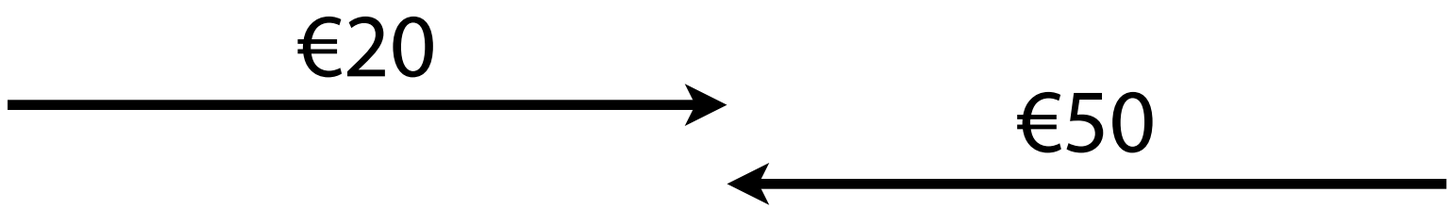


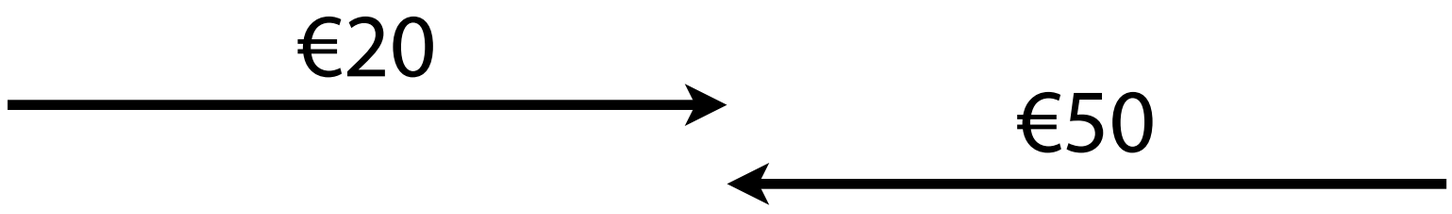

```
diederik = 280
yannick = yannick + 20
```

```
nico = 450
yannick = yannick + 50
```

Concurrency is difficult



`diederik = 280` `yannick = 400` `nico = 500`

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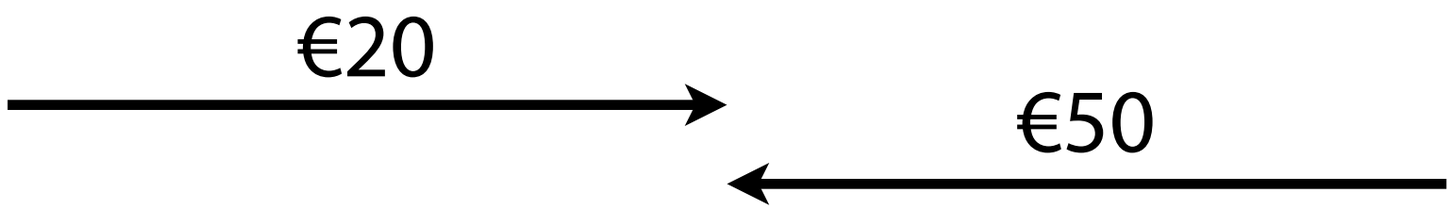


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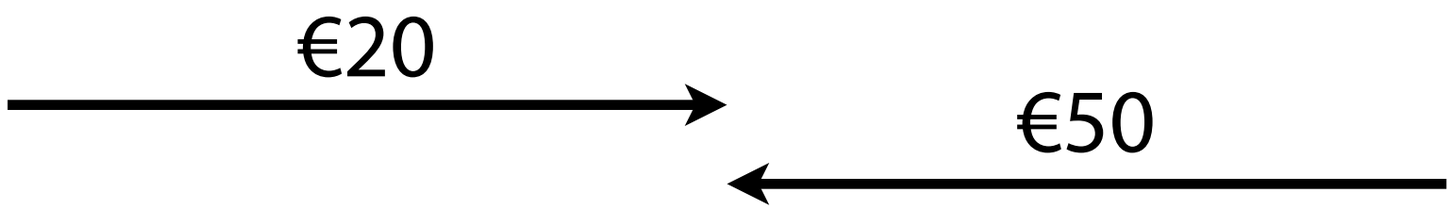


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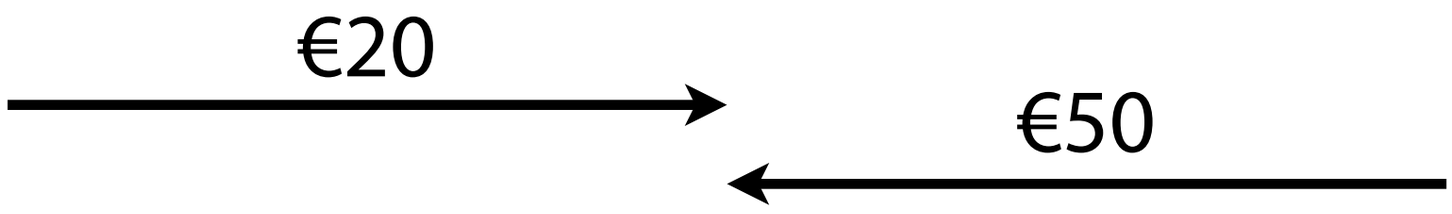


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yannick = 400 + 20
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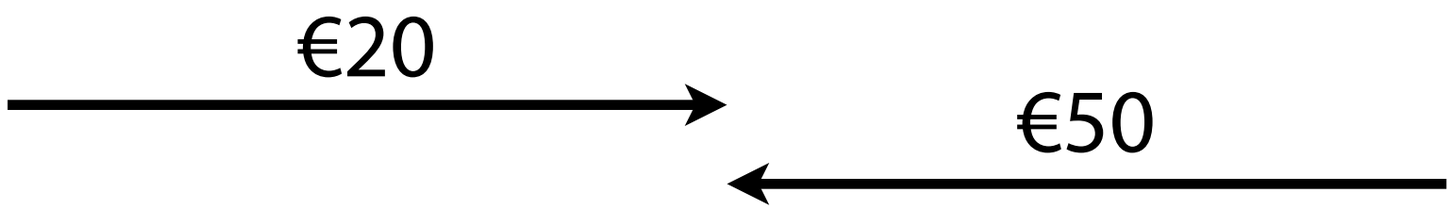
yannick = 400 + 50
```

Concurrency is difficult



```
diederik = 280      yannick = 400      nico = 450
```



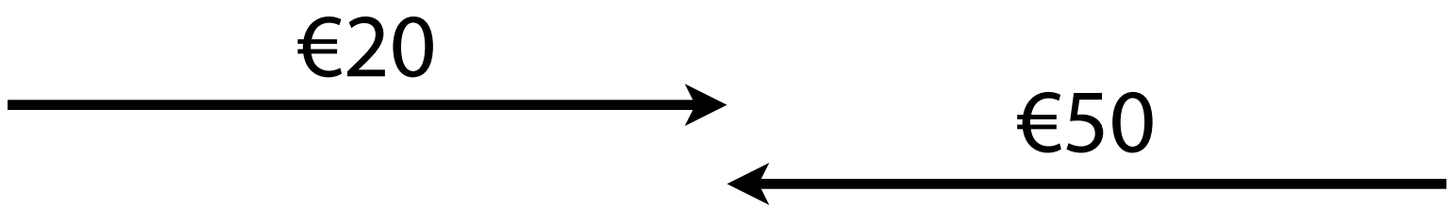

```
diederik = 280
yannick = 420
```

```
nico = 450
yannick = 450
```

Concurrency is difficult



`diederik = 280` `yannick = 420` `nico = 450`



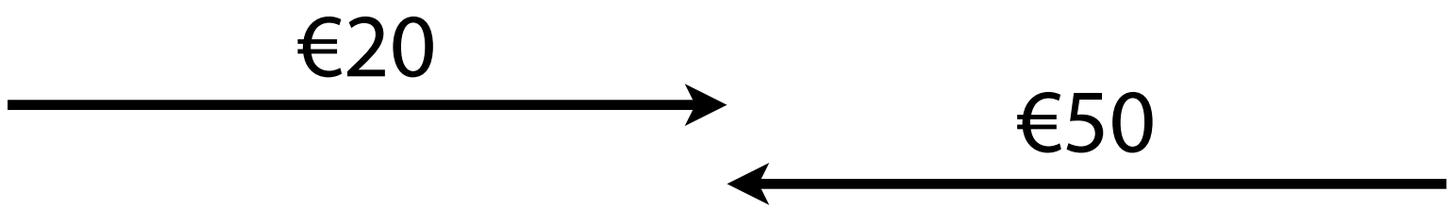

```
diederik = 280
yannick = 420
```

```
nico = 450
yannick = 450
```

Concurrency is difficult



`diederik = 280` `yannick = 450` `nico = 450`




```
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yannick = 420
```

```
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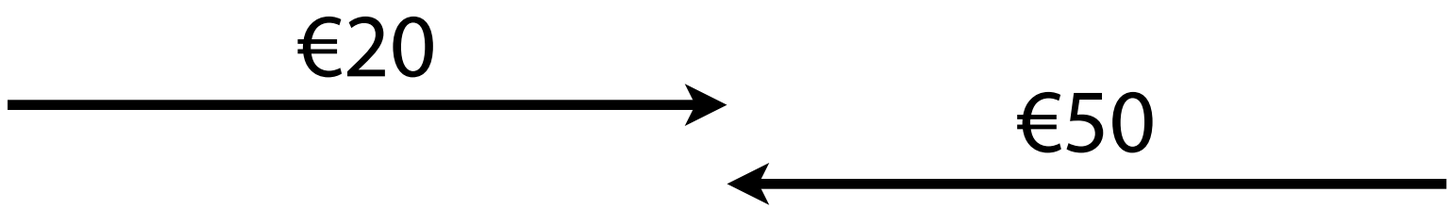
yannick = 450
```

Concurrency is difficult



`diederik = 280` `yannick = 450` `nico = 450`



`diederik = 280`
`yannick = 420`

`nico = 450`
`yannick = 450`

race condition

Concurrency is necessary but difficult

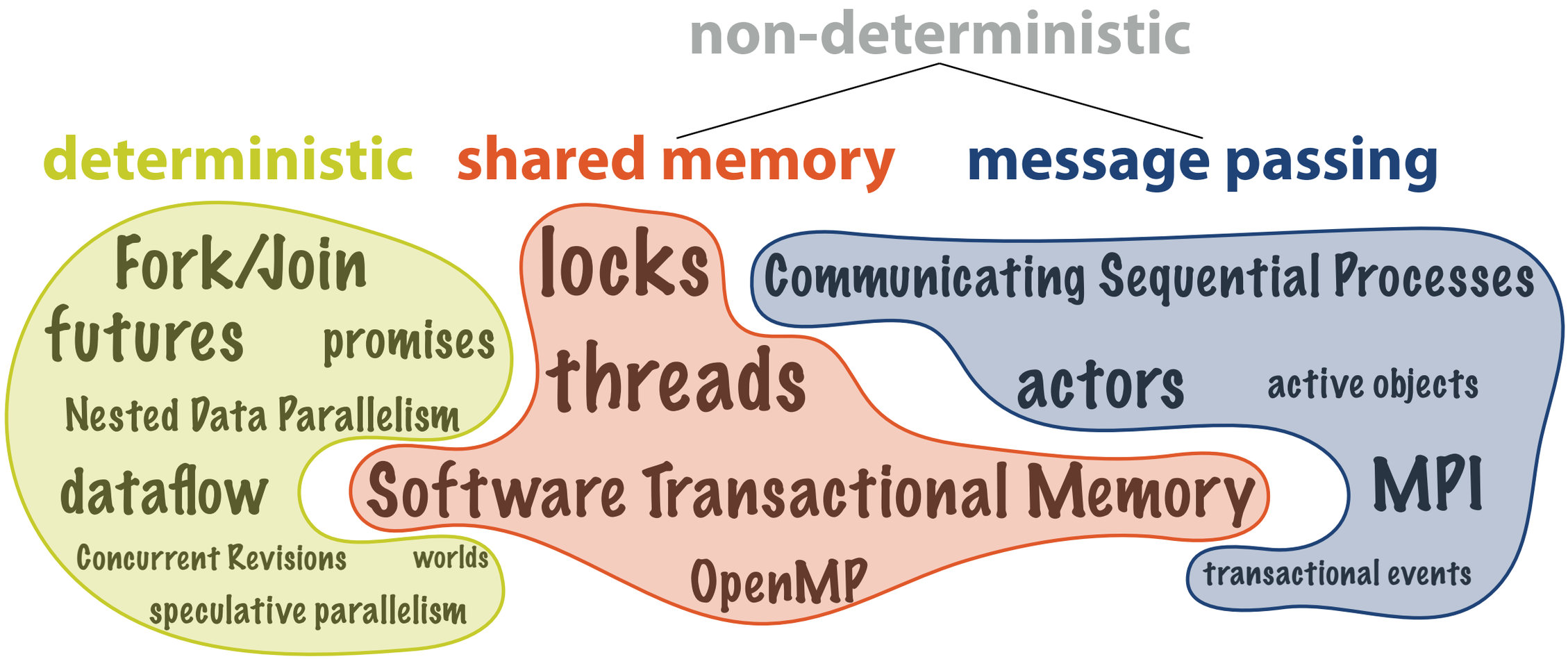
Concurrency bugs are **frequent**,
difficult to reproduce, and **difficult to debug**

⇓

Concurrency models:
set of **programming language constructs**
that introduce concurrency
but with restrictions to prevent bugs

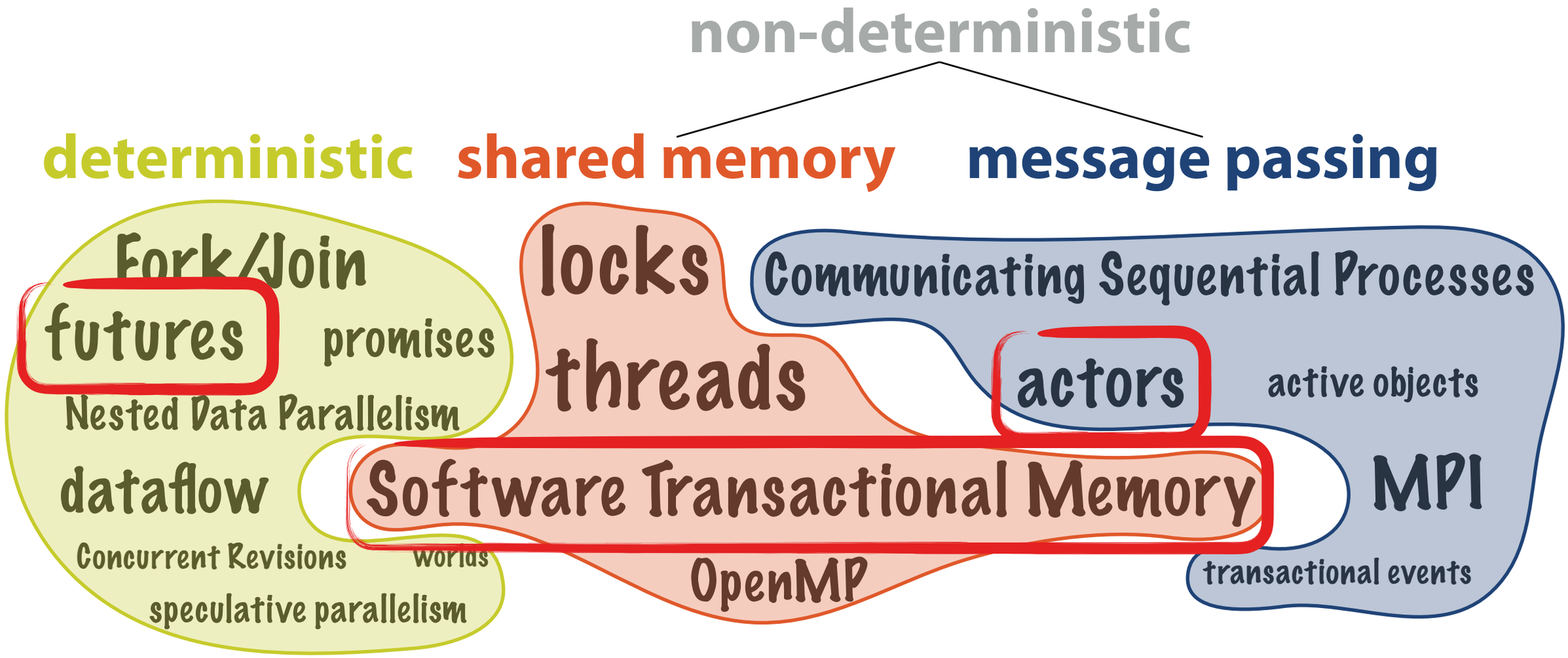
Zhou, Neamtiu, and Gupta (2015). *Predicting Concurrency Bugs: How Many, What Kind and Where Are They?* (EASE'15)
Godefroid and Nagappan (2008). *Concurrency at Microsoft – An Exploratory Survey*

There are many different concurrency models



Van Roy, Haridi (2004). *Concepts, Techniques, and Models of Computer Programming* (The MIT Press)

There are many different concurrency models



Van Roy, Haridi (2004). *Concepts, Techniques, and Models of Computer Programming* (The MIT Press)

Futures

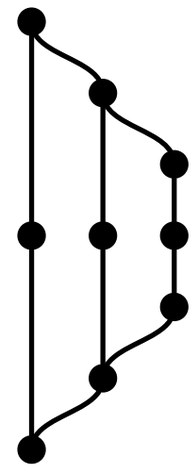
```
(def thumbnail1 (resize-image “1.jpg”))
(def thumbnail2 (resize-image “2.jpg”))
(show thumbnail1 thumbnail2)
```

Futures

```
(def thumbnail1 (fork (resize-image “1.jpg”)))
(def thumbnail2 (fork (resize-image “2.jpg”)))
(show (join thumbnail1) (join thumbnail2))
```

Guarantee:

Det **determinacy**



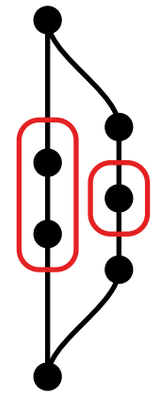
Transactions

```
(def diederik (ref 300))
(def yannick  (ref 400))
(def nico     (ref 500))
(fork
  (atomic
    (ref-set diederik (- (deref diederik) 20))
    (ref-set yannick  (+ (deref yannick)  20))))
(fork
  (atomic
    (ref-set nico     (- (deref nico)     50))
    (ref-set yannick  (+ (deref yannick) 50))))
```

Guarantees:

Iso **isolation** (e.g. serializability, snapshot isolation)

Pro **progress** (e.g. deadlock freedom)



Actors

```
(def airline-behavior
  (behavior [flights]
    [orig dest n]
    (let [flight   (search-flight flights orig dest)
          flights' (reserve flights flight)]
      (become airline-behavior flights'))))

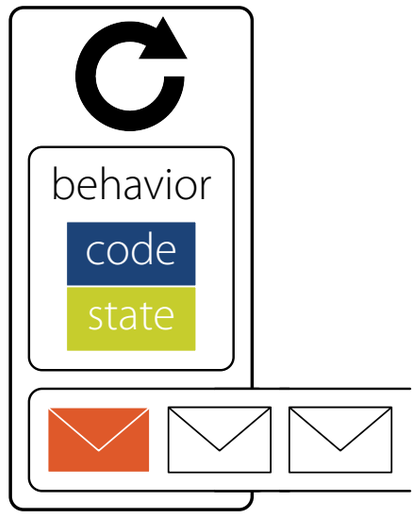
(def air-canada
  (spawn airline-behavior
    {"AC854" {:orig "YVR" :dest "LHR" :seats 211}
     "AC855" {:orig "LHR" :dest "YVR" :seats 211}}))

(send air-canada "LHR" "YVR" 2)
```

Guarantees:

ITP **isolated turn principle**

DLF **deadlock freedom**

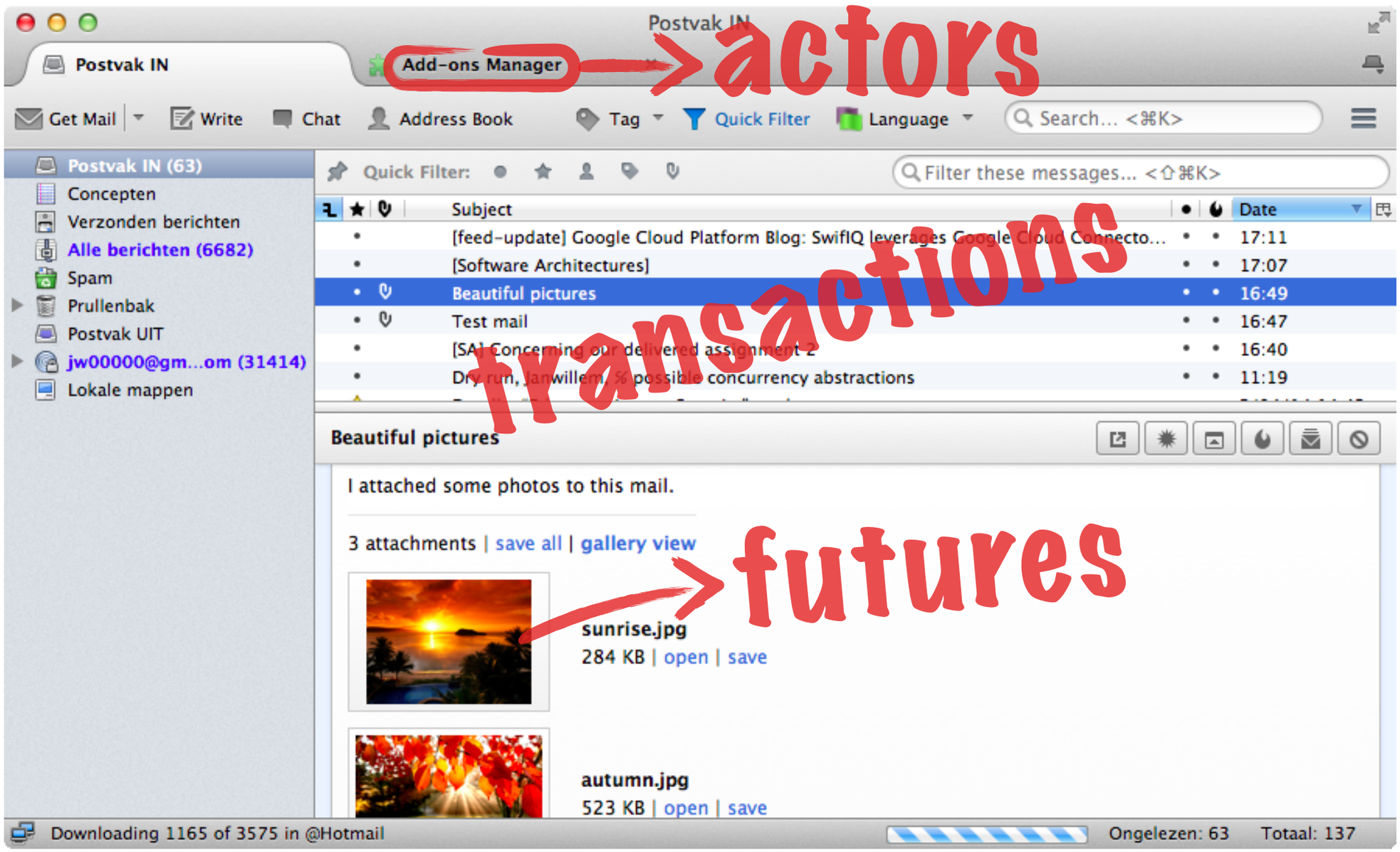


Summary

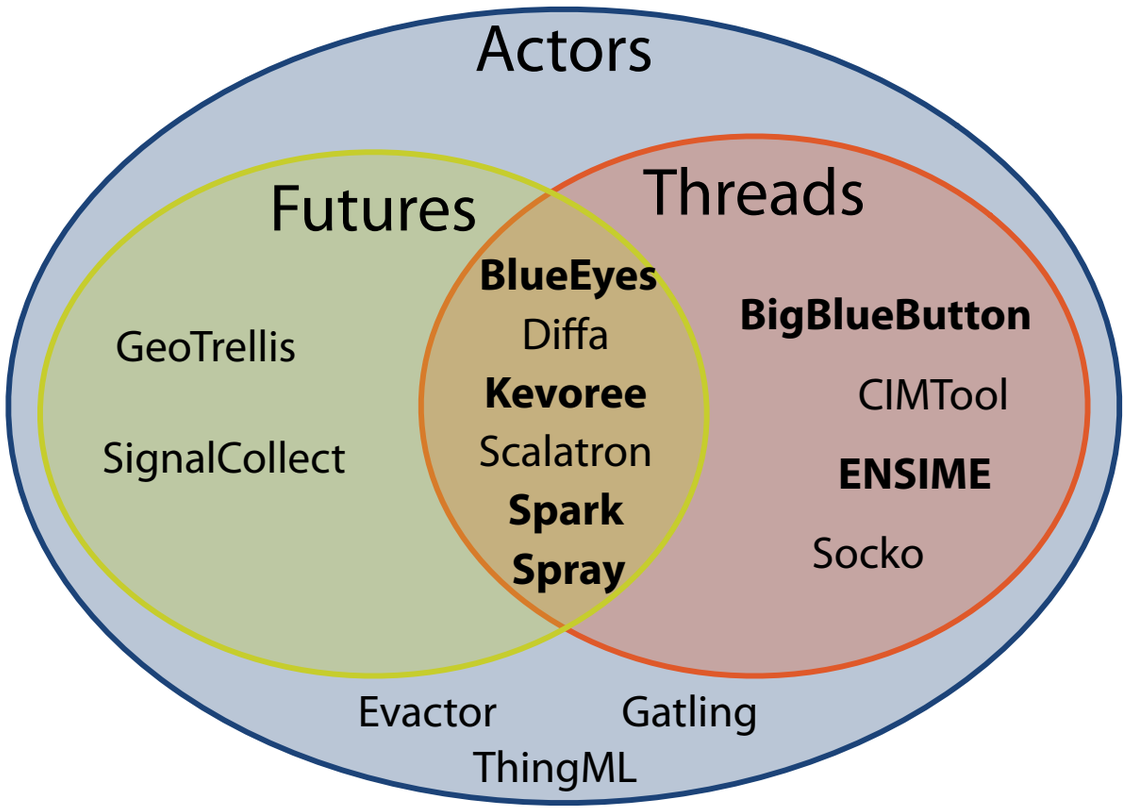
Futures *Deterministic*	**Transactions** *Shared memory*	**Actors** *Message passing*
`(fork e)`	`(atomic e)`	`(behavior [x] [x] e)`
`(join f)`	`(ref v)`	`(spawn b v)`
	`(deref r)`	`(send a v)`
	`(ref-set r v)`	`(become b v)`
Det Determinacy	Iso Isolation	ITP Isolated turn principle
	Pro Progress	DLF Deadlock freedom

Formalization of three separate models ☞ Chapter 2

Different concurrency models target different use cases



Observation 1: programmers combine concurrency models



15 **Scala** programs with **actors**:

- 12/15 (80%) combine with **another model**
- 6/15 (40%) say they circumvent it where it is **"not a good fit"**

Tasharofi, Dinges, and Johnson (2013). *Why Do Scala Developers Mix the Actor Model with Other Concurrency Models?* (ECOOP'13)

Observation 2: programming languages support many concurrency models

	Clojure	Scala	Java	Haskell	C++
Deterministic models					
Futures	✓	✓	✓	•	✓
Promises	✓	✓	✓	•	✓
Fork/Join	✓*	✓*	✓		•
Parallel collections	✓*	✓	✓	•	•
Dataflow	•	•	•	•	
Shared-memory models					
Threads	✓*	✓*	✓	✓	✓
Locks	✓*	✓*	✓	✓	✓
Atomic variables	✓	✓*	✓	✓	✓
Transactional memory	✓	•	•	✓	•
Message-passing models					
Actors	•	•	•	•	•
Channels	✓	✓	•	✓	•
Agents	✓				
# supported models	10	8	7	5	5

✓ built in
• library

Clojure has 6 concurrency models built in
(+ 4 through JVM)

Developers **combine** concurrency models.

Programming languages allow this.

How does this affect their **guarantees**?

Naive combinations lead to problems

Case study of Clojure

```
(swap! (fn [v] (send …)))
(send  (fn [v] (send …)))
(dosync        (send …))
(future        (send …))
(go            (send …))
```

```
(send (fn [v] (swap! …))
(send (fn [v] (send  …))
(send (fn [v] (dosync …))
(send (fn [v] (future …))
(send (fn [v] (promise …))
(send (fn [v] (go …))
```

Races

outer \ inner	Atom	Agent	STM	Future	Promise	Channel
Atom's swap!	✗	✗	✗	✗	✗	✗
Agent's action	✓	✓	✓	✓	✓	✓
STM's dosync	✗	✓	✓	✗	✗	✗
Future	✓	✓	✓	✓	✓	✓
CSP's go	✓	✓	✓	✓	✓	✓

Deadlocks

outer \ inner	Atom	Agent	STM	Future	Promise	Channel
Atom's swap!	✓	✓	✓	✓	✓	✗
Agent's action	✓	✓	✓	✓	✗	✗
STM's dosync	✓	✓	✓	✓	✓	✗
Future	✓	✗	✓	✗	✓	✗
CSP's go	✓	✗	✓	✓	✓	✗

Livelocks

outer \ inner	Atom	Agent	STM	Future	Promise	Channel
Atom's swap!	✗	✓	✓	✓	✓	✓
Agent's action	✓	✓	✓	✓	✓	✓
STM's dosync	✓	✓	✓	✓	✓	✓
Future	✓	✓	✓	✓	✓	✓
CSP's go	✓	✓	✓	✓	✓	✓

Naive combinations lead to problems

3 common problems:

- spurious retries
  ⇒ races
- unexpected blocking
  ⇒ deadlocks
- unexpected retries
  ⇒ livelocks

Caused by operations that:

- retry
- block

Races

outer \ inner	Atom	Agent	STM	Future	Promise	Channel
Atom's `swap!`	✗	✗	✗	✗	✗	✗
Agent's action	✓	✓	✓	✓	✓	✓
STM's `dosync`	✗	✓	✓	✗	✗	✗
Future	✓	✓	✓	✓	✓	✓
CSP's `go`	✓	✓	✓	✓	✓	✓

Deadlocks

outer \ inner	Atom	Agent	STM	Future	Promise	Channel
Atom's `swap!`	✓	✓	✓	✓	✓	✗
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STM's `dosync`	✓	✓	✓	✓	✓	✗
Future	✓	✗	✓	✗	✓	✗
CSP's `go`	✓	✗	✓	✓	✓	✗

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Naive combinations lead to problems

We need to study each combination and how it affects the guarantees

Races	Atom	Agent	STM	Future	Promise	Channel
Atom's `swap!`	✗	✗	✗	✗	✗	✗
Agent's action	✓	✓	✓	✓	✓	✓
STM's `dosync`	✗	✓	✓	✗	✗	✗
Future	✓	✓	✓	✓	✓	✓
CSP's `go`	✓	✓	✓	✓	✓	✓

outer / inner

Deadlocks	Atom	Agent	STM	Future	Promise	Channel
Atom's `swap!`	✓	✓	✓	✓	✓	✗
Agent's action	✓	✓	✓	✓	✗	✗
STM's `dosync`	✓	✓	✓	✓	✓	✗
Future	✓	✗	✓	✗	✓	✗
CSP's `go`	✓	✗	✓	✓	✓	✗

outer / inner

Livelocks	Atom	Agent	STM	Future	Promise	Channel
Atom's `swap!`	✗	✓	✓	✓	✓	✓
Agent's action	✓	✓	✓	✓	✓	✓
STM's `dosync`	✓	✓	✓	✓	✓	✓
Future	✓	✓	✓	✓	✓	✓
CSP's `go`	✓	✓	✓	✓	✓	✓

outer / inner

We studied the combinations of futures, transactions, and actors

	Future	Transaction	Actor

Future	`(fork`
	`  (fork …)`
	`  (join …))`
	Nested futures
		`(fork`
		`  (atomic …))`
		Parallel transactions
			`(fork`
			`  (spawn …)`
			`  (send …)`
			`  (become …))`
			Communication in future

Transaction	`(atomic`
	`  (fork …)`
	`  (join …))`
	Parallelism in transaction
		`(atomic`
		`  (atomic …)`
		`  (ref …)`
		`  (deref …)`
		`  (ref-set …))`
		Nested transactions
			`(atomic`
			`  (spawn …)`
			`  (send …)`
			`  (become …))`
			Communication in transaction

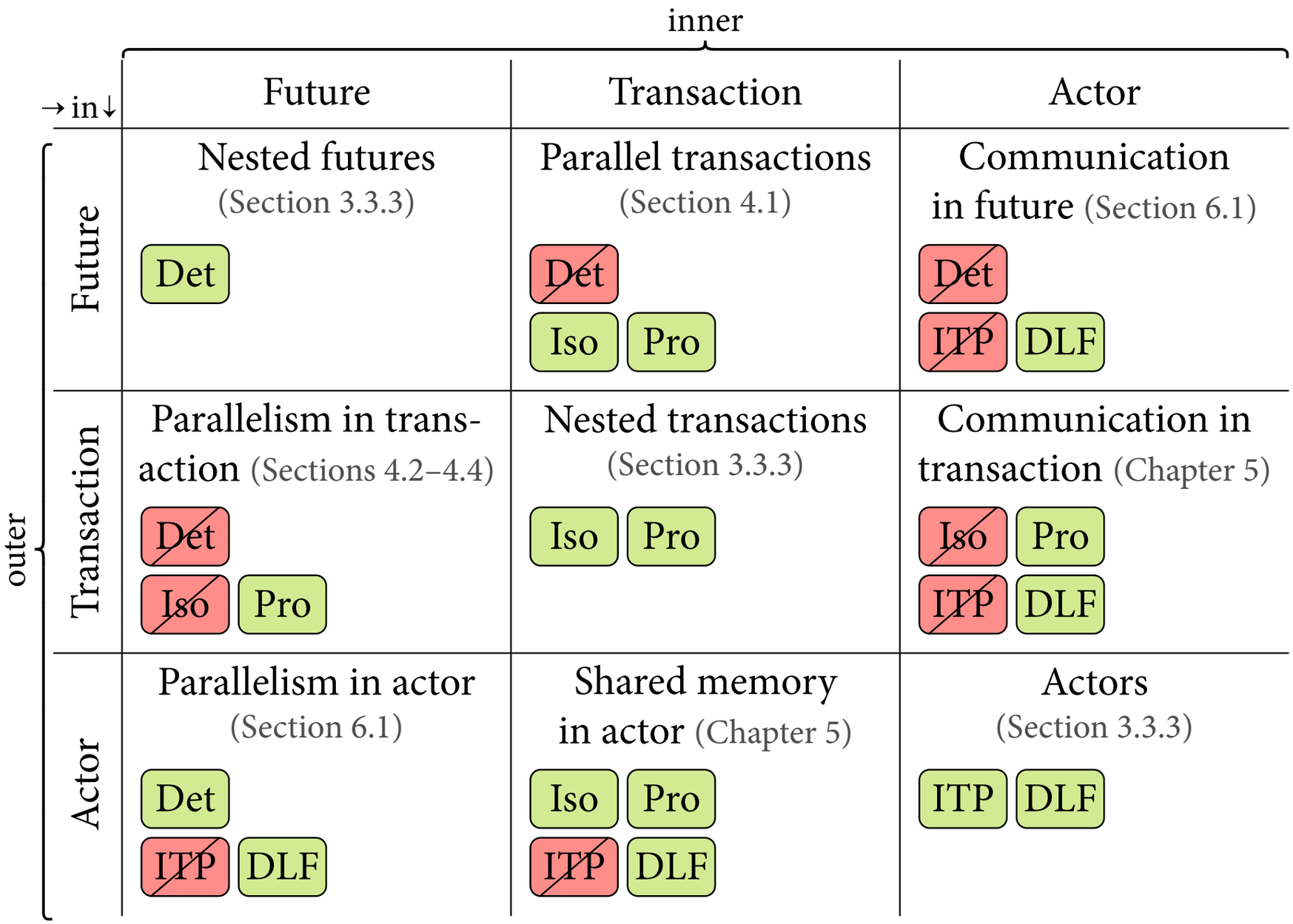
Actor	`(behavior [] []`
	`  (fork …)`
	`  (join …))`
	Parallelism in actor
		`(behavior [] []`
		`  (atomic …))`
		Shared memory in actor
			`(behavior [] []`
			`  (spawn …)`
			`  (send …)`
			`  (become …))`
			Actors

Goals

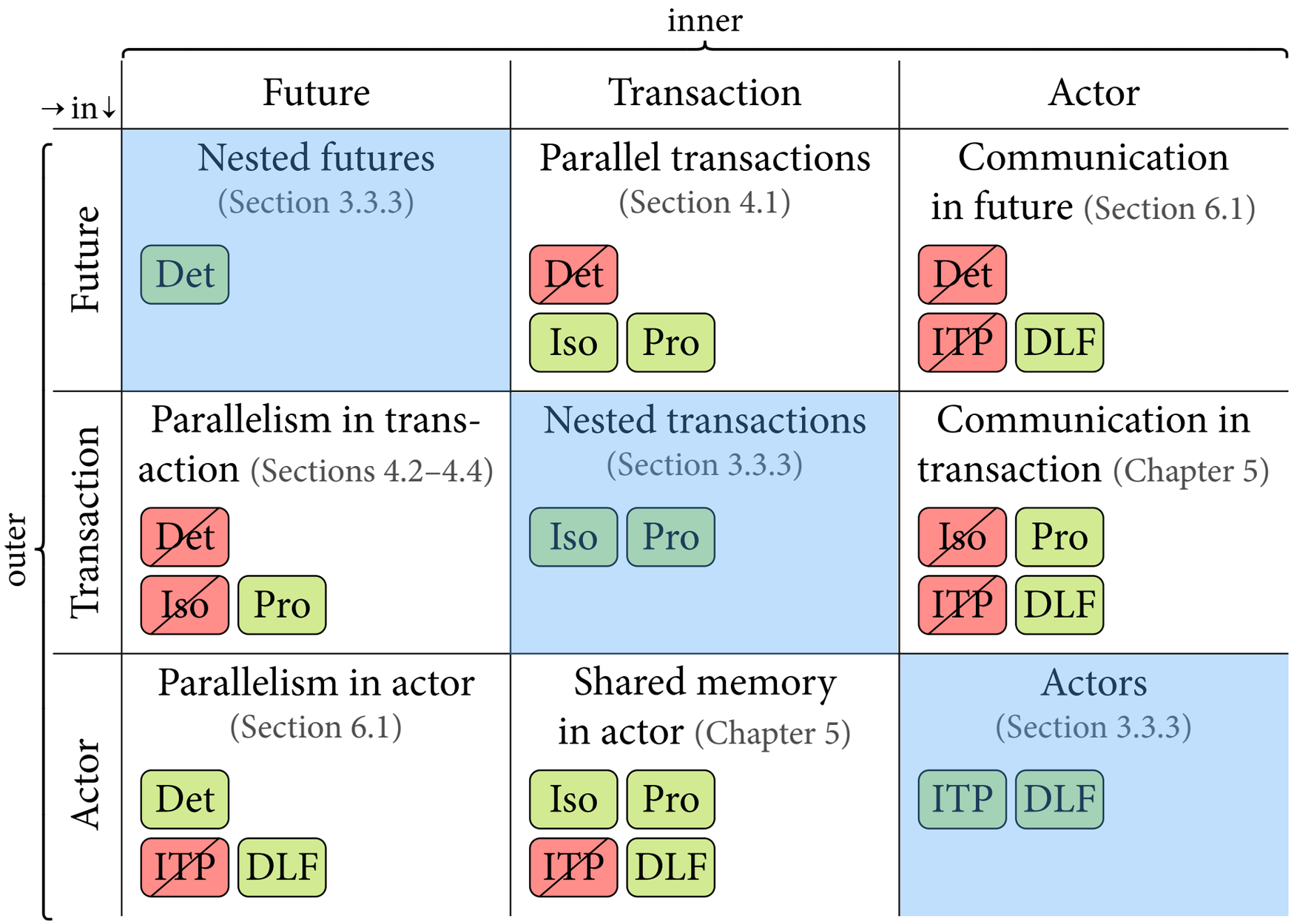
Unified model of futures, transactions, and actors that:

1. Separate models: backward compatibility
2. **Combinations: maintain guarantees of all models**
   If impossible: define a less restrictive guarantee

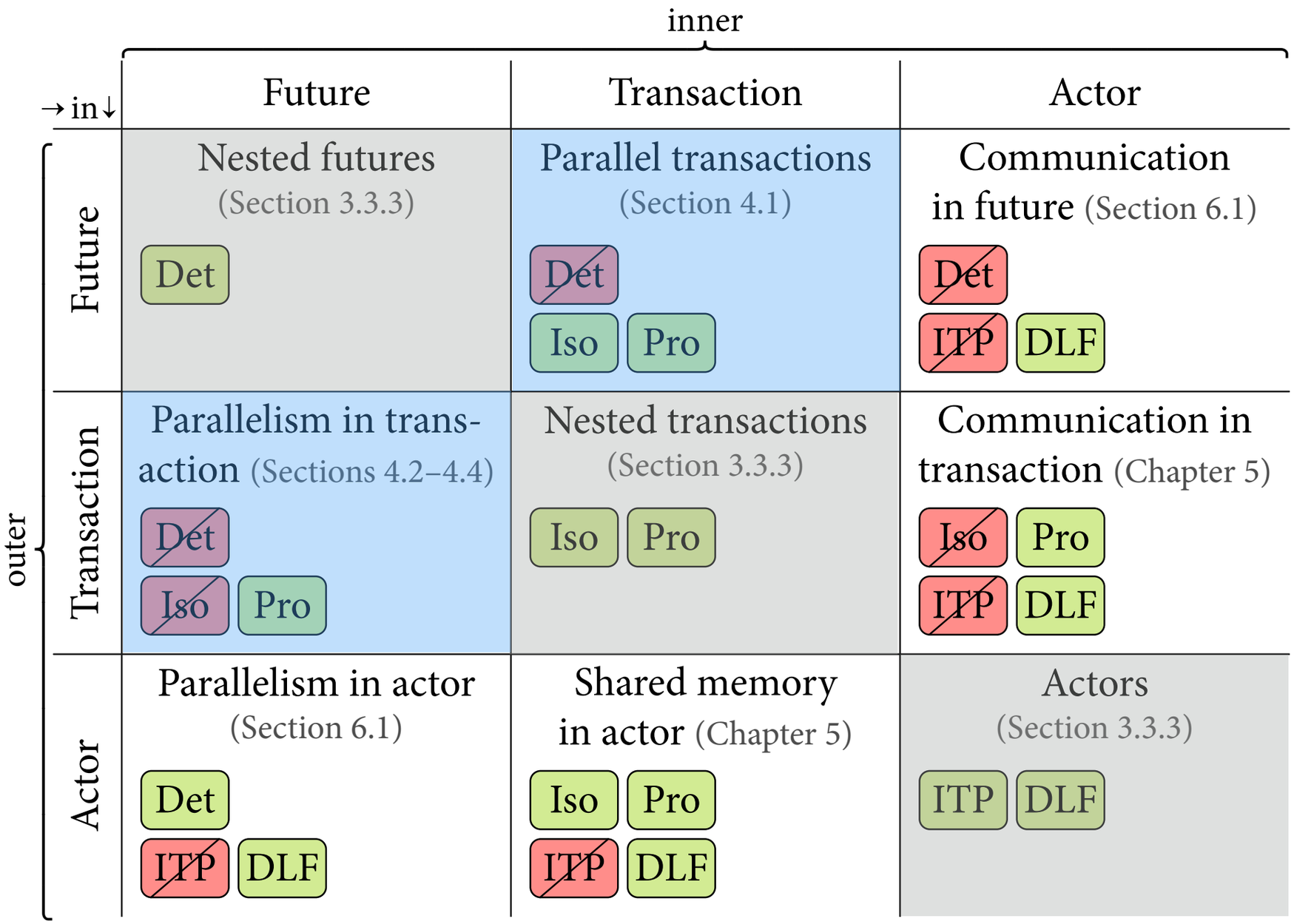
"Naive" combinations



Trivial combinations



Transactions + Futures



Motivation: Parallelism in Transaction

Application	Transaction length (mean # of instructions per tx)	Average time in transaction
Labyrinth	219,571	100%
Bayes	60,584	83%
Yada	9,795	100%
Vacation-high	3,223	86%
Genome	1,717	97%
Intruder	330	33%
Kmeans-high	117	7%
SSCA2	50	17%

parallelism *within* transaction

Labyrinth original:

```
(atomic
  (breadth-first-search …))
```

Labyrinth optimized:

```
(atomic
  (parallel-bfs …))

      (defn parallel-bfs […]
        (for […]
          (fork …)))
```


Minh, Chung, Kozyrakis, Olukotun (2008). *STAMP: Stanford Transactional Applications for Multi-Processing* (IISWC'08)

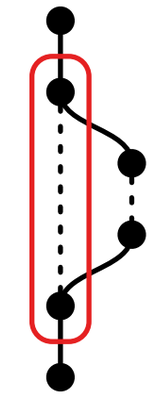
Problems when creating future in transaction

Impure languages (e.g. Clojure, ScalaSTM)

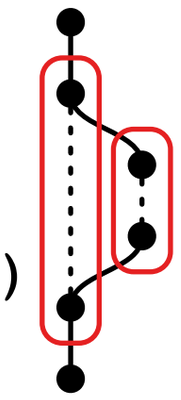
Tasks in transaction do not share context

⇒ **no access to transactional state**
or
⇒ **isolation broken** Iso

```
(atomic
  (fork
    (ref-set …)))
```



```
(atomic
  (fork
    (atomic
      (ref-set …))))
```

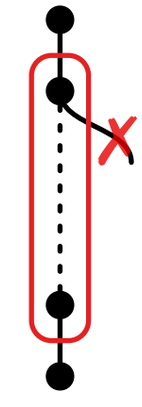


Pure languages (Haskell)

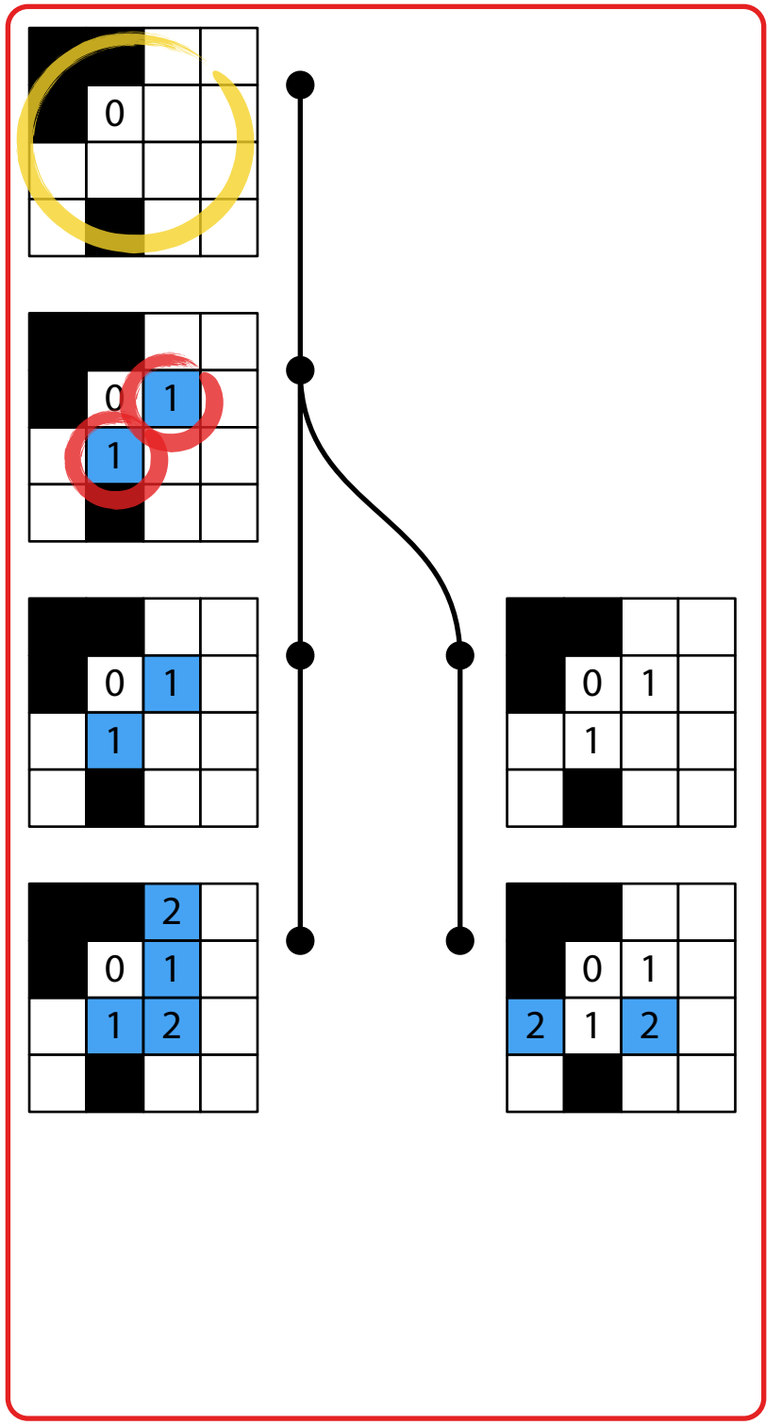
Tasks in transaction prohibited

⇒ isolation guaranteed but **parallelism limited**

```
atomically $
  do { forkIO … }
```

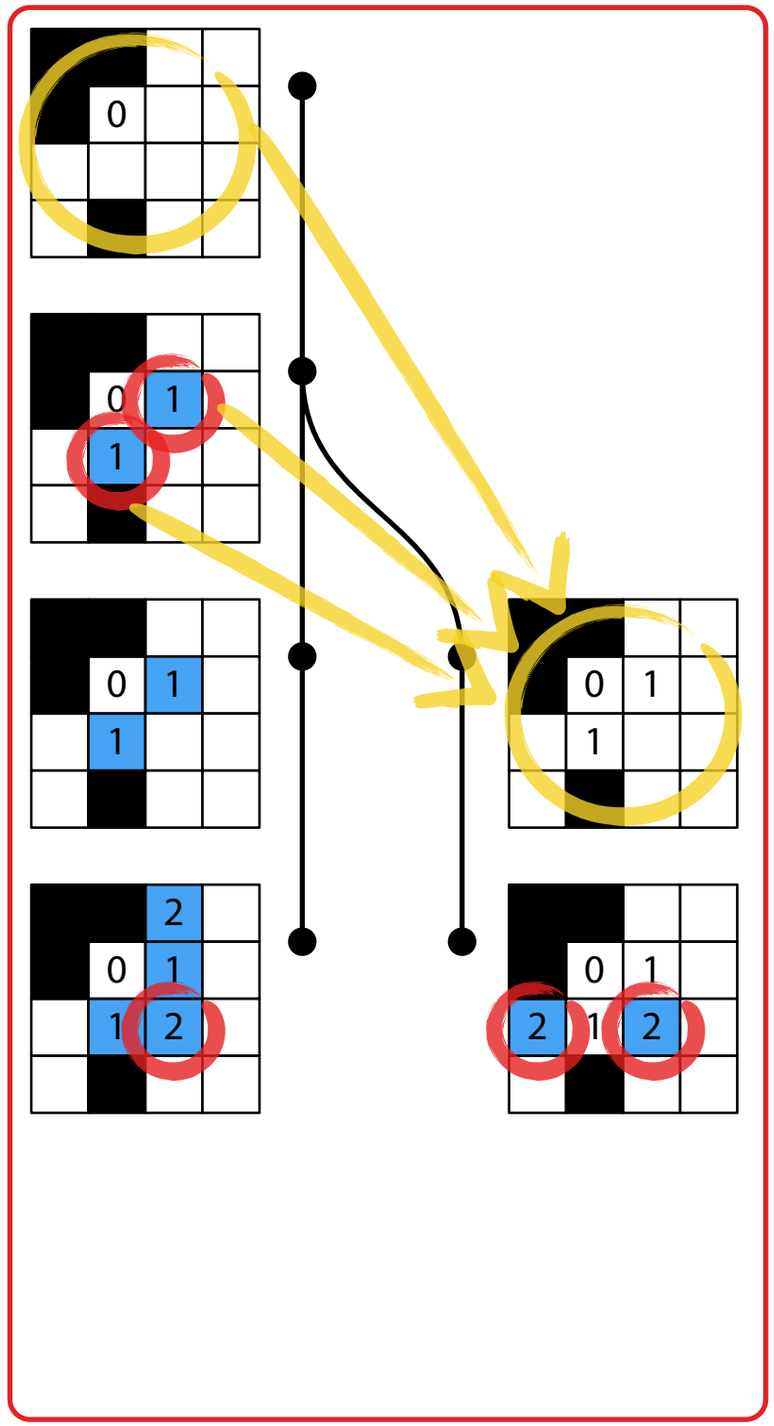


Transactional Futures




```
(atomic
  (ref-set … 1)
```

`fork` creates isolated task



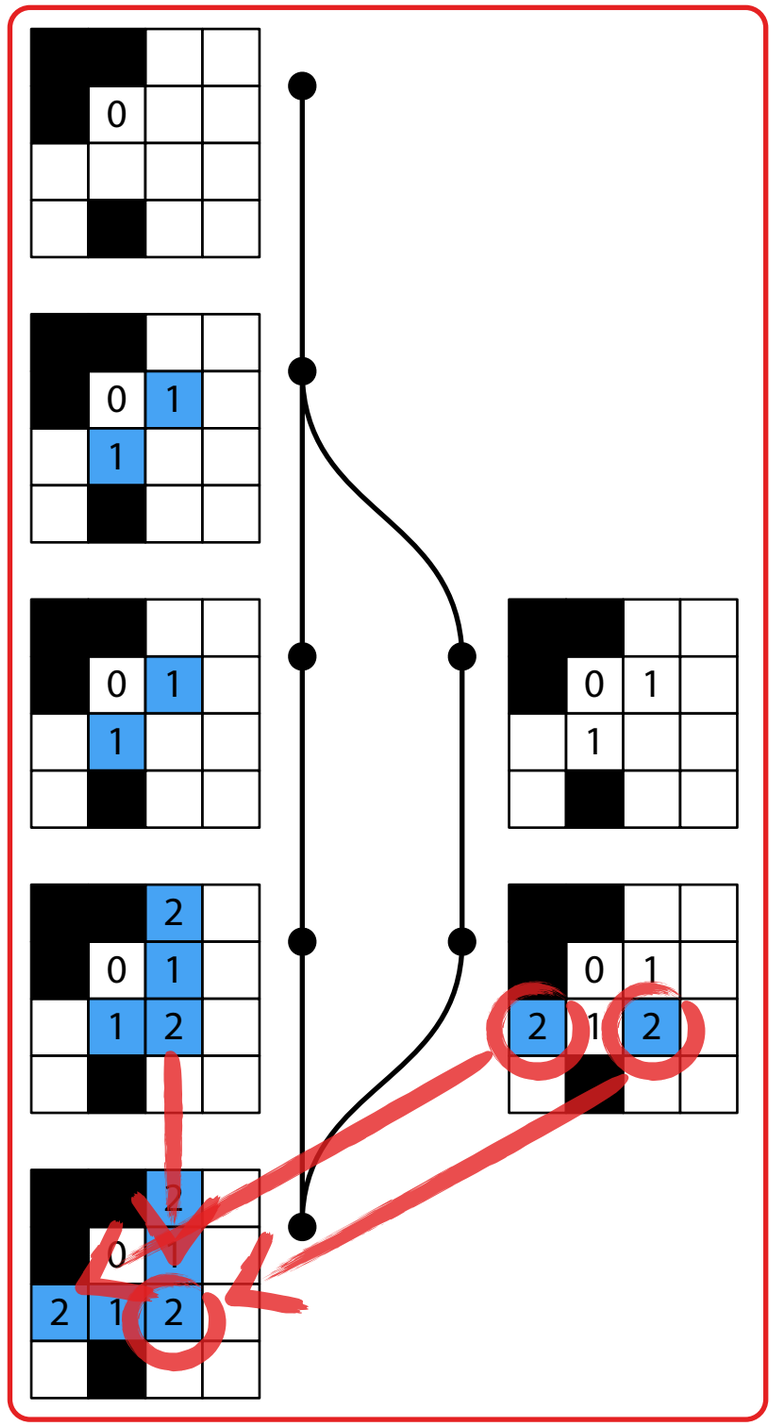

```
(atomic
  (ref-set … 1)
  (fork
    (ref-set … 2))
  (ref-set … 2)
```

Each transactional task contains:

snapshot: transactional state on creation

local store: local modifications

`join` merges changes



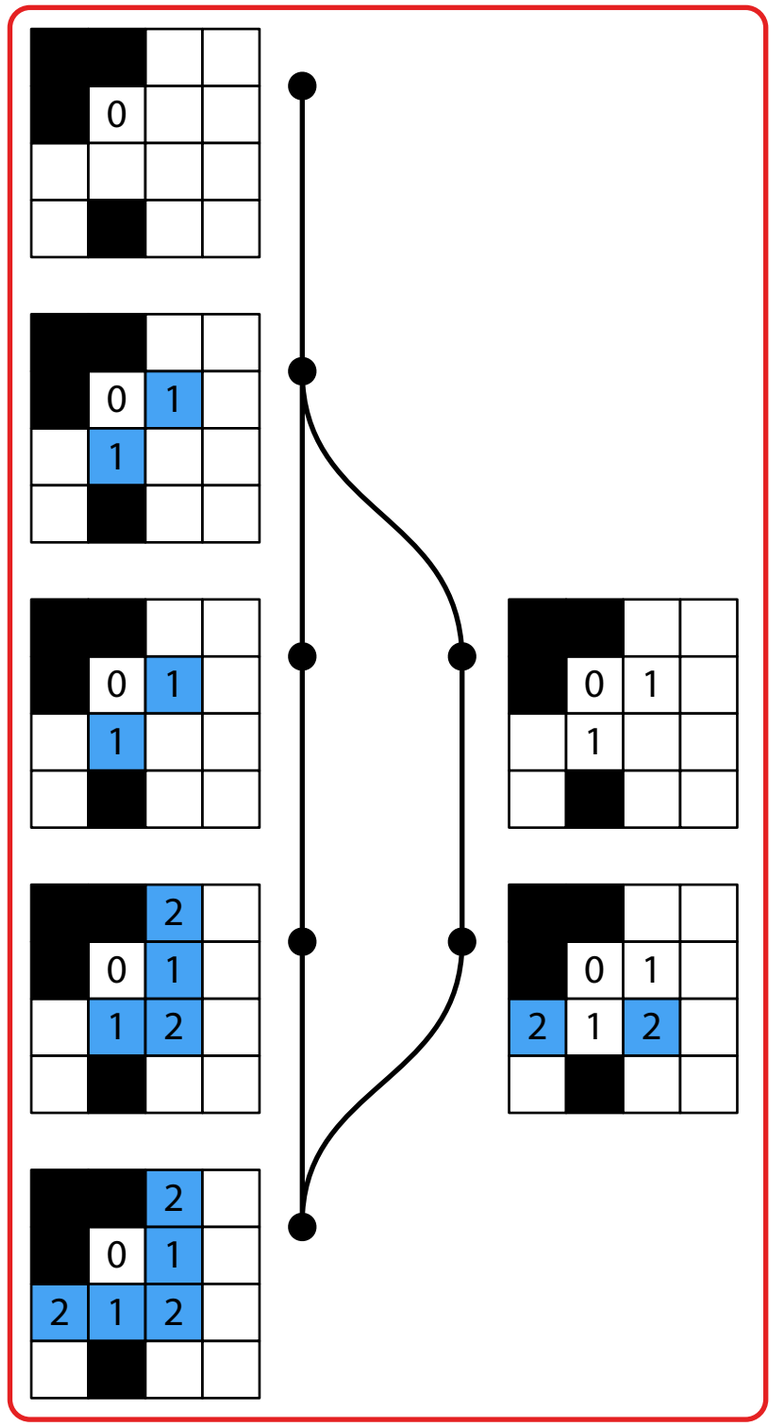

```
(atomic
  …
  (join child))
```

merge local store of child into parent

Conflict resolution function: `(ref 0 resolve)`

All tasks commit atomically

⇒ isolation and progress maintained



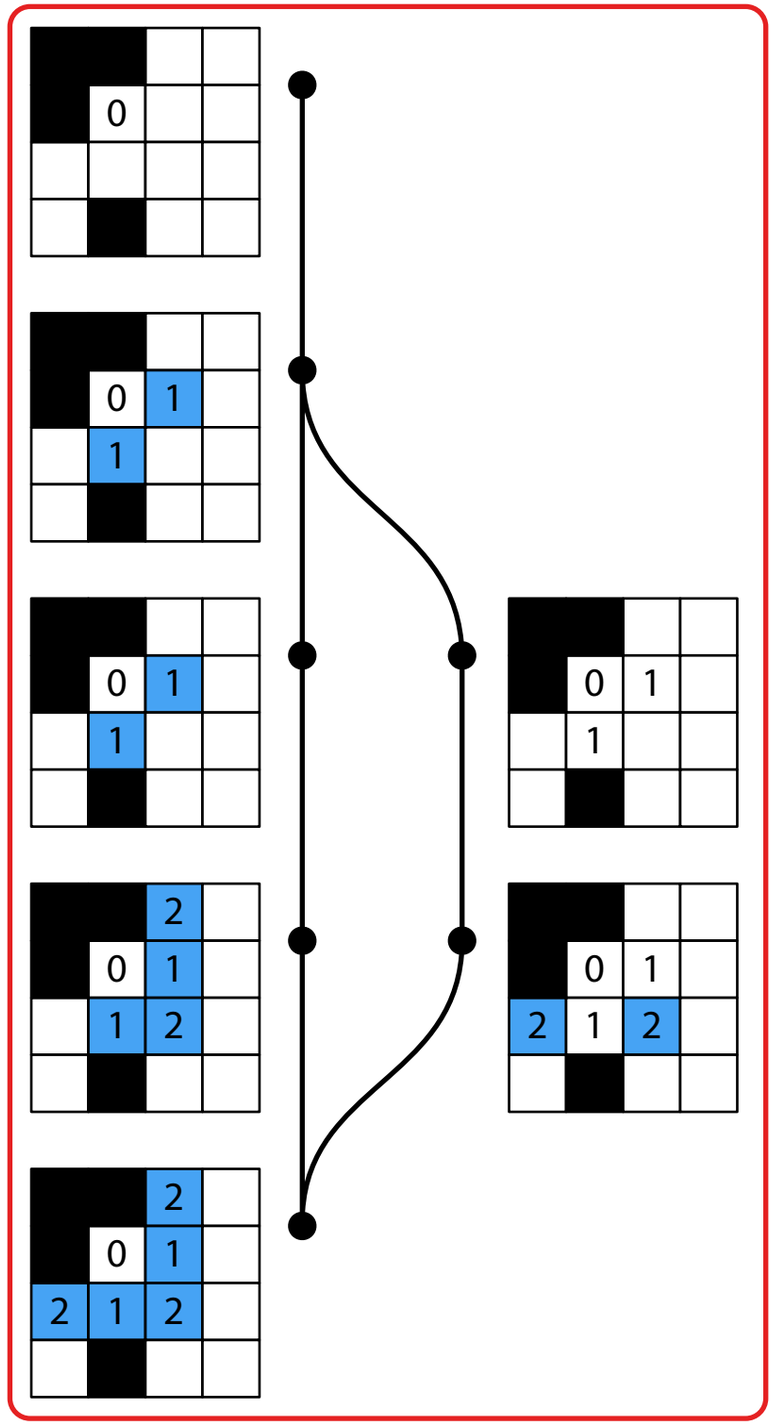

```
(atomic
  …
  (join child))
```

All tasks must be joined before commit

⇒ **isolation maintained** Iso

progress maintained Pro

Intratransaction determinacy

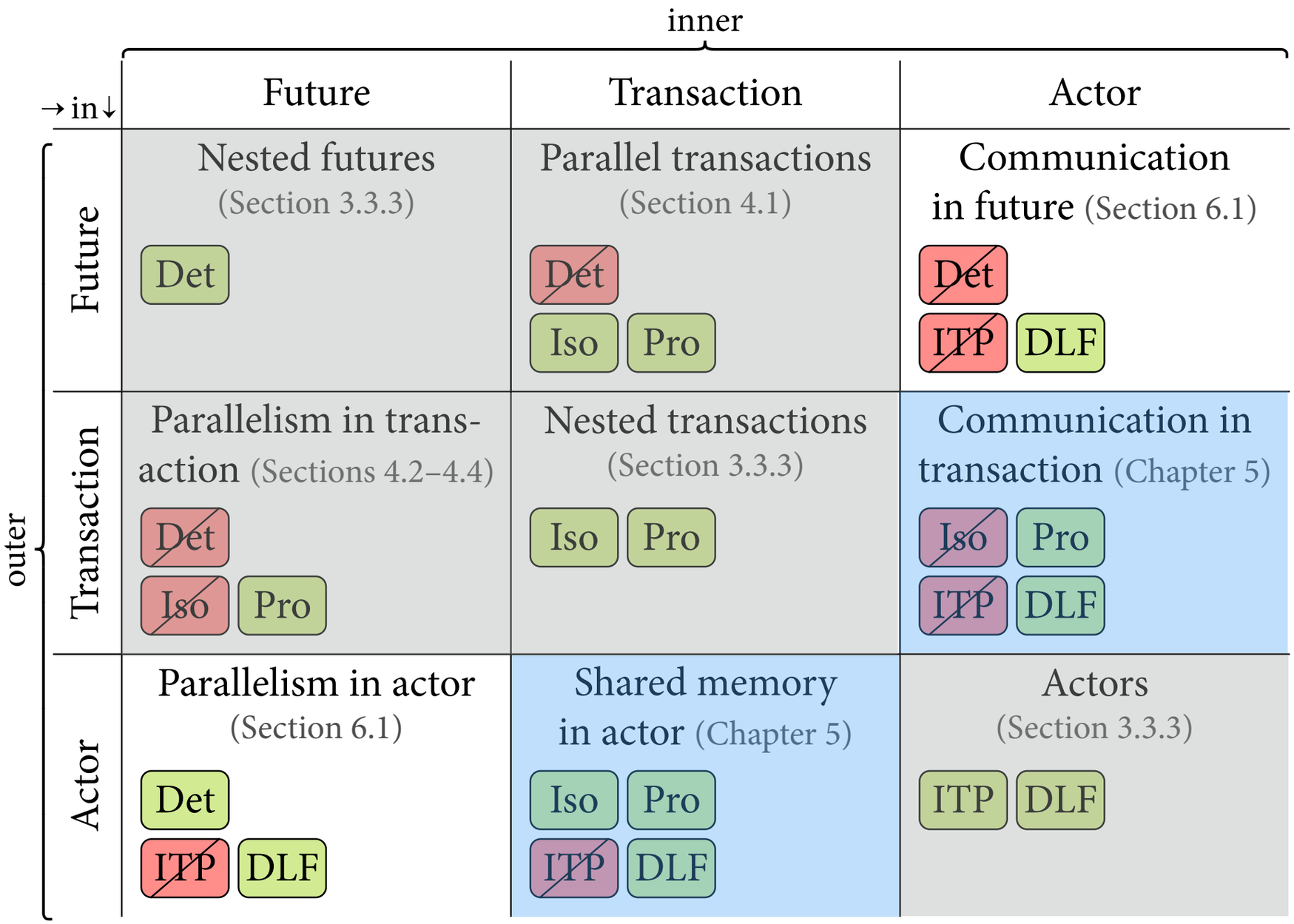


Transactions can commit in any order
⇒ Det inevitable

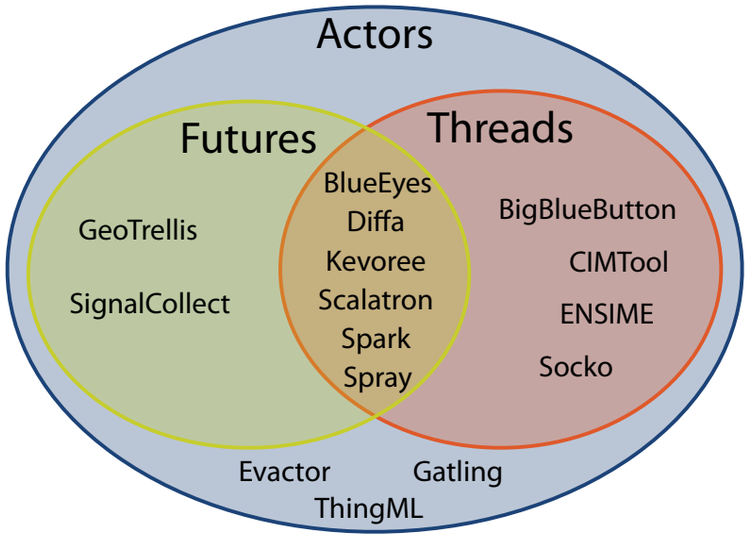
But: determinacy *within* each transaction
= **intratransaction determinacy** ITD

And isolation *between* transactions Iso

Transactions + Actors



Motivation: (1) safe shared information between actors

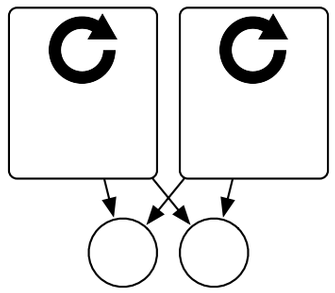


Impure actor languages (e.g. Scala)

10/15 projects introduce shared memory

⇒ **ITP broken** ITP

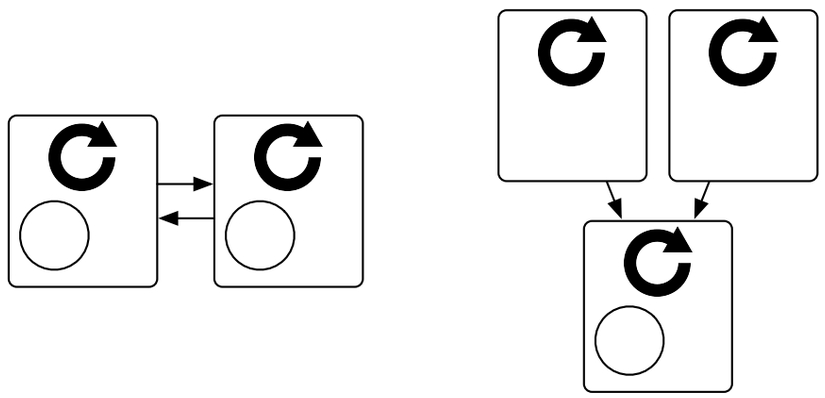
⇒ **races & deadlocks possible**



Pure actor languages (e.g. Erlang)

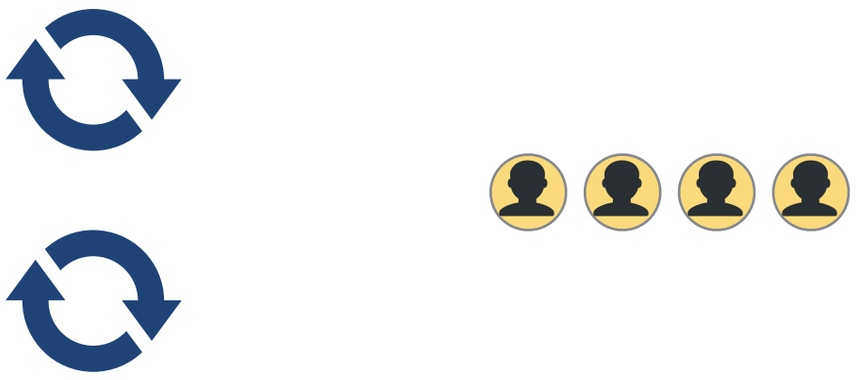
Patterns: replication/delegation

⇒ ITP guaranteed but
safety up to the developer



Motivation: (2) communication between transactions

"Vacation" processes customers in parallel



```
(def customer-behavior
  (behavior [id] [c]
    (atomic
      (reserve-flight (:orig @c) (:dest @c) (:start @c))
      (reserve-flight (:dest @c) (:orig @c) (:end @c))
      (reserve-room   (:dest @c) (:start @c) (:end @c))
      (reserve-car    (:dest @c) (:start @c) (:end @c))
      (ref-set c (assoc @c :password (generate-password))))))
```

Minh, Chung, Kozyrakis, Olukotun (2008). *STAMP: Stanford Transactional Applications for Multi-Processing* (IISWC'08)

Motivation: (2) communication between transactions

but more fine-grained parallelism is possible


```
(def customer-behavior
  (behavior [id] [c]
    (atomic
      (send (rand workers) :flight (:orig @c) …)
      (send (rand workers) :flight (:dest @c) …)
      (send (rand workers) :room   (:dest @c) …)
      (send (rand workers) :car    (:dest @c) …)
      (ref-set c (assoc @c :password (generate-password))))))
```

⇒ **isolation broken** Iso

Transactional Actors

Make side effects on actors part of transaction

```
(atomic

  (def airline-beh
    (behavior [flights]
      …))

  (spawn airline-beh flights)
  (become airline-beh flights)

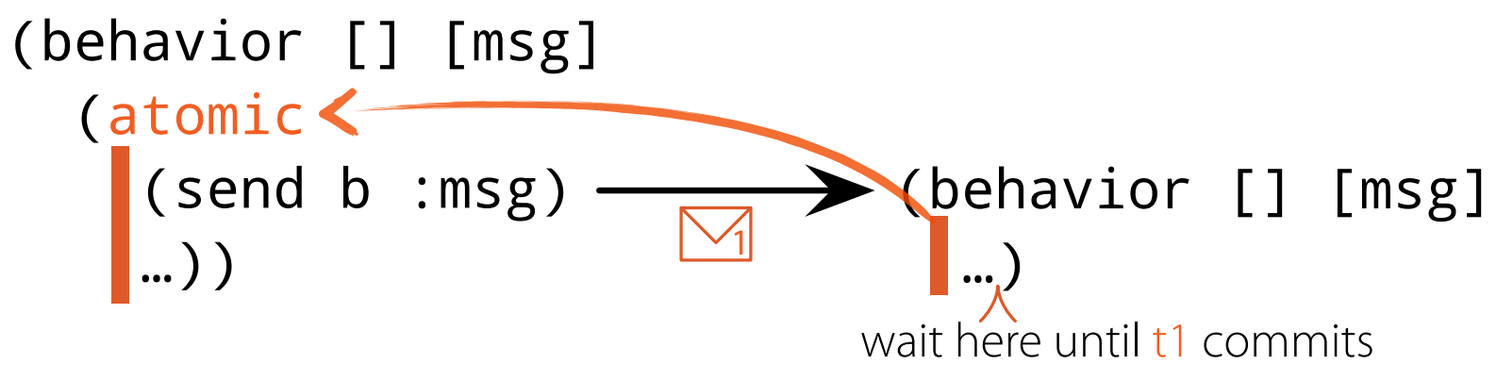
  (send :process-customer
    (deref c)))
```

separate from transaction, no side-effect ✓

delay side effect until commit (pessimistic) ↷

sent immediately, but **rolled back** on abort (optimistic) ↶

Sending a message in a transaction

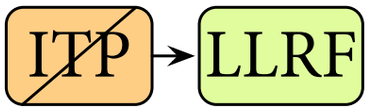


Message **depends** on the transaction

Receiving turn is **tentative**:

- Side effects (`spawn`, `become`) delayed
- Sends get dependency
- At the end, wait for dependency to commit

⇒ **isolation maintained** Iso
progress maintained Pro



Low-level Race Freedom

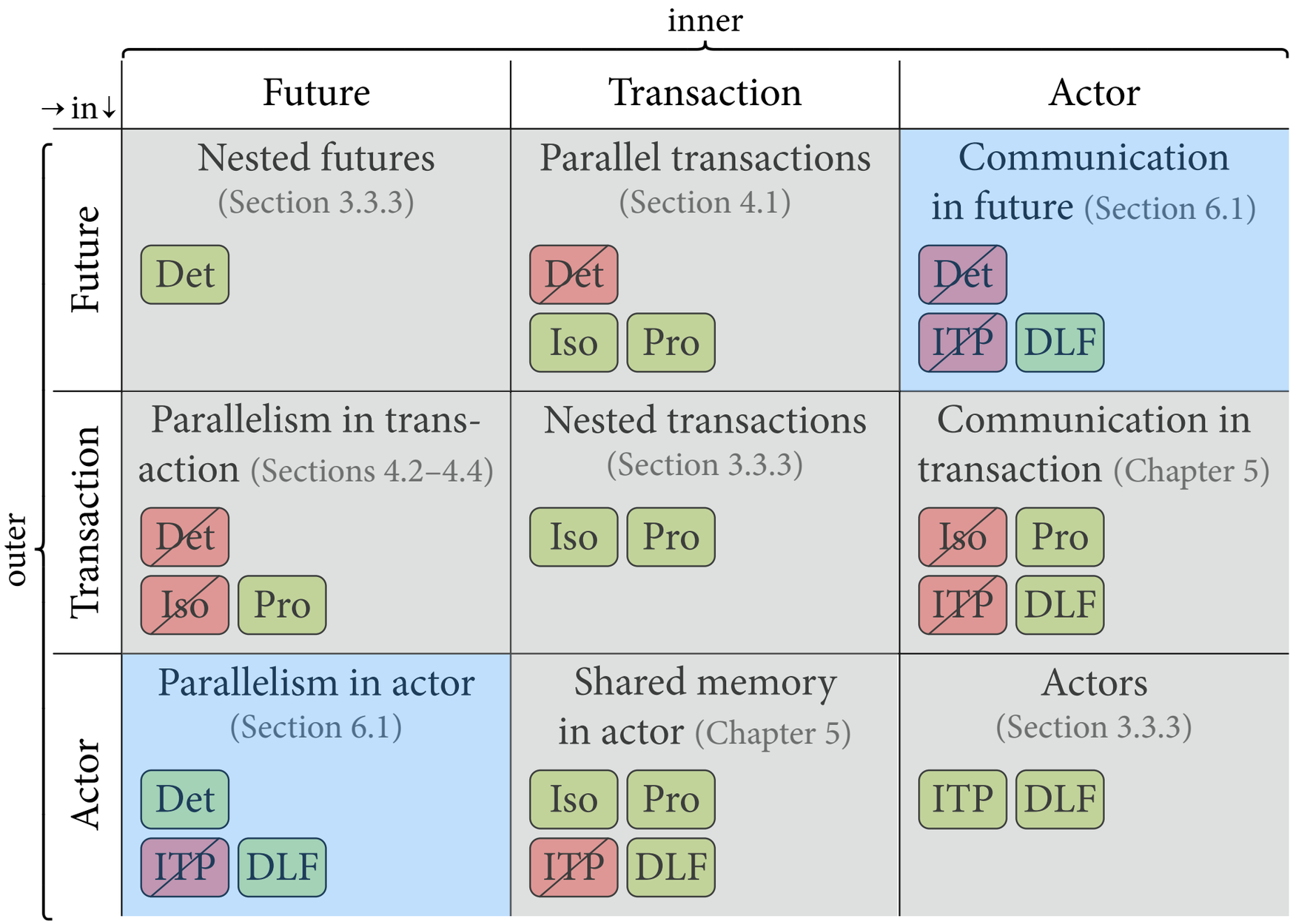
Shared memory ⇒ ITP broken ITP

But: **Low-level Race Freedom** LLRF

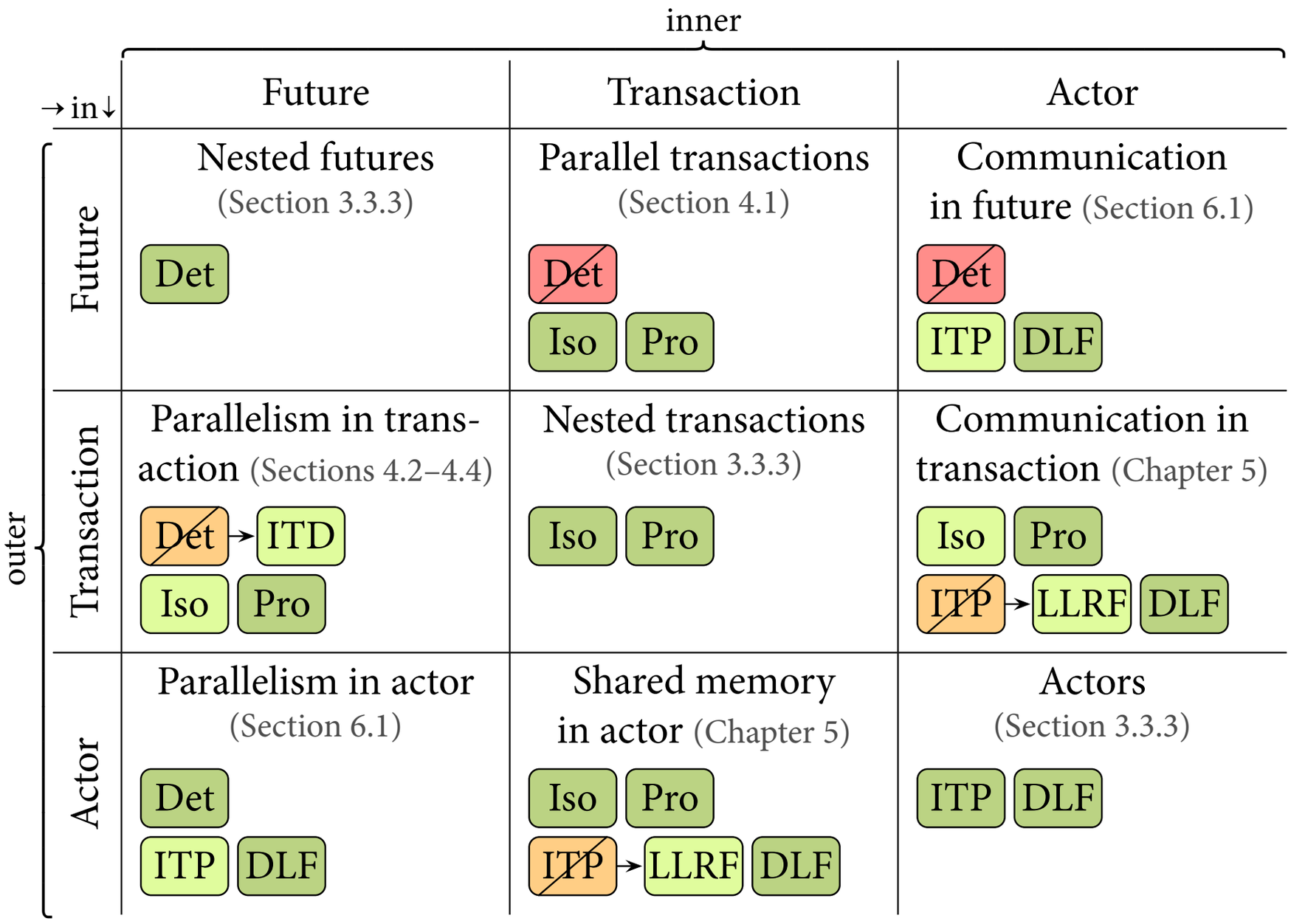
→ **shared memory** is isolated at level of **transactions**

→ **private memory of actors** is isolated at level of **turns**

Actors + Futures



Chocola:
c^h^omposable concurrency language



Implementation

Extension of Clojure

- **Futures & Transactions**: built into Clojure
- **Actors**: simple implementation
- Transactional Futures
- Transactional Actors

} added

☞ Chapter 8

☞ http://soft.vub.ac.be/~jswalens/chocola

Formalization of Operational Semantics “PureChocola”

Uniform formalization of three separate models ☞ Chapter 2

Program state	p	::=	$\langle \mathrm{T} \rangle$
Tasks	$\mathrm{T} \subset$ Task		
Task	task $\in$ Task	::=	$\langle f, e \rangle$

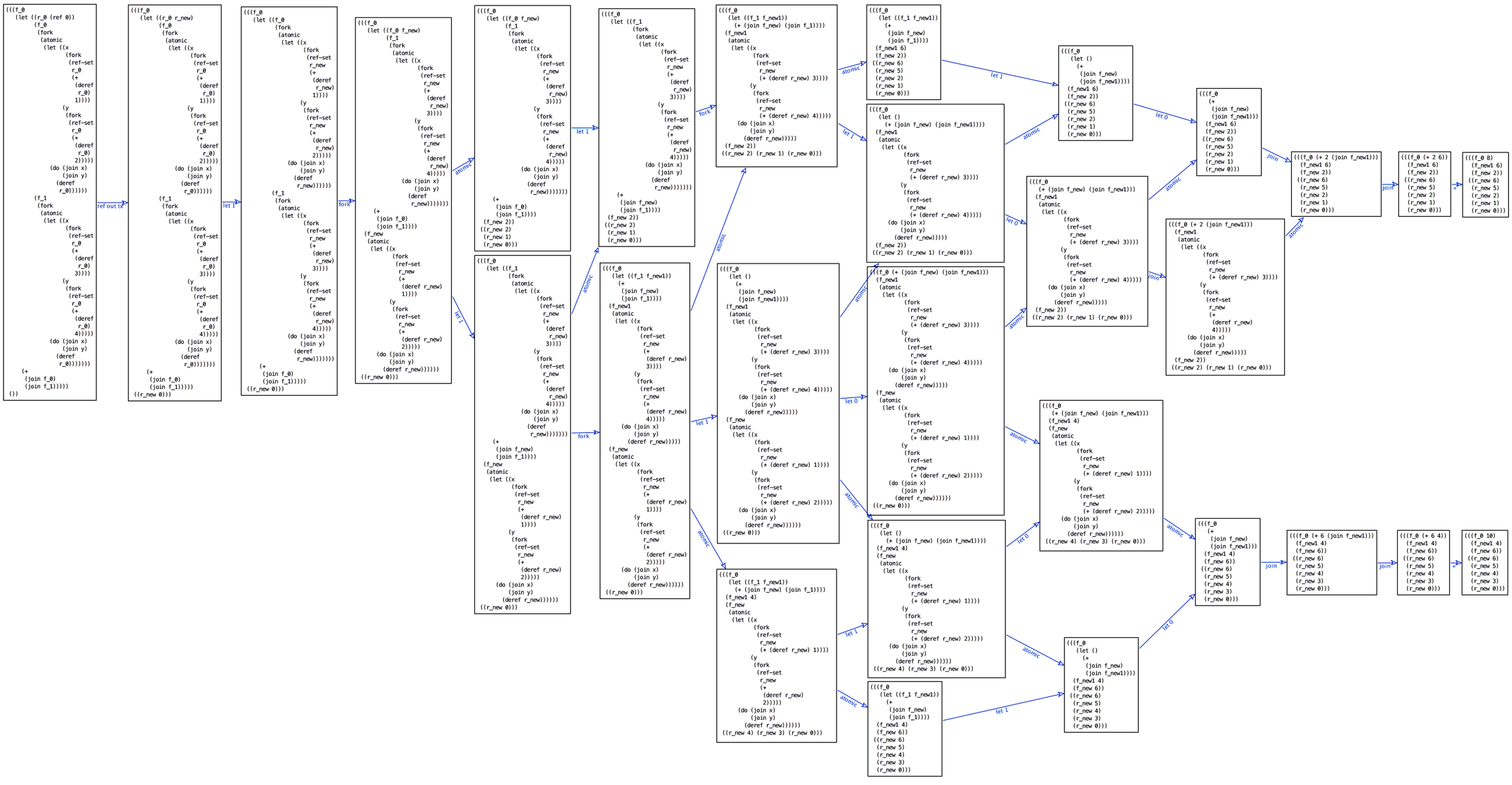
Program state	p	::=	$\langle \mathrm{T}, \tau, \sigma \rangle$
Task	task $\in$ Task	::=	$\langle f, e, \mathsf{n}^? \rangle$
Transactions	τ : TransactionNumber $\rightharpoonup$ Transaction		
snapshot, local store	$\sigma, \overleftarrow{\sigma}, \delta$: TVar $\rightharpoonup$ Value		
Transaction	tx $\in$ Transaction	::=	$\langle \circ, \overleftarrow{\sigma}, \overleftarrow{e}, \delta \rangle$
Transaction id	n $\in$ TransactionNumber		$= \mathbb{N}^+$
Transaction state	$\circ$	::=	$\triangleright \mid \checkmark \mid \times$

Program state	p	::=	$\langle \mathrm{A}, \mu \rangle$
Actors	$\mathrm{A} \subset$ Actor		
Inboxes	μ : Address $\rightharpoonup \overline{\text{Message}}$		
Actor	act $\in$ Actor	::=	$\langle a, e^?, \text{beh} \rangle$
Behavior	beh $\in$ Behavior	::=	$\langle b, \overline{v} \rangle$
Message	msg $\in$ Message	::=	$\langle a_{\text{from}}, a_{\text{to}}, \overline{v} \rangle$

Program state	p	::=	$\langle \mathrm{A}, \mathrm{T}, \mu, \tau, \sigma \rangle$
Actors	$\mathrm{A} \subset$ Actor		
Tasks	$\mathrm{T} \subset$ Task		
Inboxes	μ : Address $\rightharpoonup \overline{\text{Message}}$		
Transactions	τ : TransactionNumber $\rightharpoonup$ Transaction		
Transactional heap	σ : TVar $\rightharpoonup$ Value		
Actor	act $\in$ Actor	::=	$\langle a, f^?_{\text{root}}, \text{beh}, \mathsf{n}^?_{\text{dep}} \rangle$
Task	task $\in$ Task	::=	$\langle f, a, e, \mathrm{F_s}, \mathrm{F_j}, \text{eff}, \text{ctx}^? \rangle$
Transaction	tx $\in$ Transaction	::=	$\langle \circ, \overleftarrow{e} \rangle$
Spawned and joined futures	$\mathrm{F_s}, \mathrm{F_j} \subset$ Future		
Effects on actors	eff	::=	$\langle \vec{\mathrm{A}}, \overrightarrow{\text{beh}}^? \rangle$
Transactional context	ctx	::=	$\langle \mathsf{n}, \overleftarrow{\sigma}, \delta, \text{eff}_{\text{tx}} \rangle$
Message	msg $\in$ Message	::=	$\langle a_{\text{from}}, a_{\text{to}}, \overline{v}, \mathsf{n}^?_{\text{dep}} \rangle$
As before:			
Behavior	beh $\in$ Behavior	::=	$\langle b, \overline{v} \rangle$
Snapshot, local store	$\overleftarrow{\sigma}, \delta$: TVar $\rightharpoonup$ Value		
Transaction id	n $\in$ TransactionNumber		
Transaction state	$\circ$	::=	$\triangleright \mid \checkmark \mid \times$

Formalization of Chocola ☞ Chapter 7

Executable formal semantics with PLT Redex



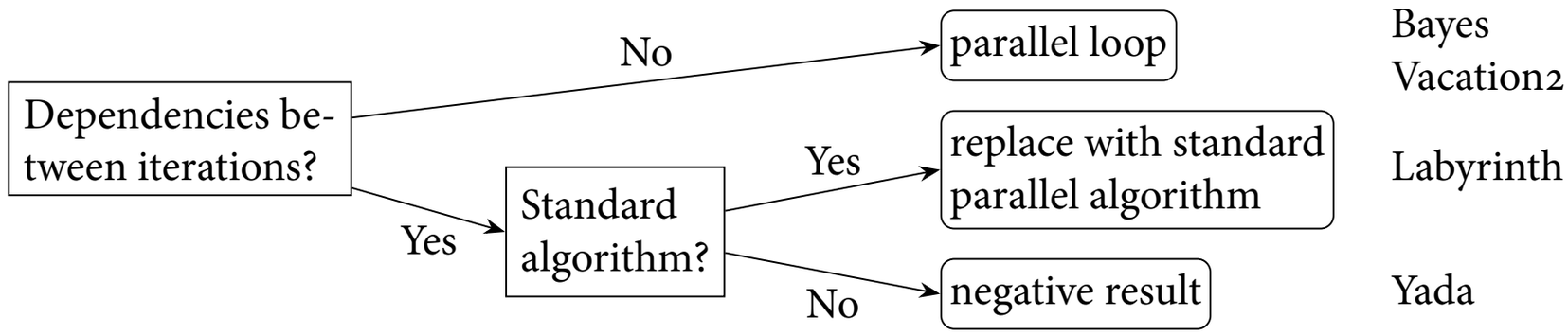
☞ https://github.com/jswalens/chocola-redex

Evaluation approach

① selection of benchmarks

Application	Transaction length (mean # of instructions per tx)	Average time in transaction
Labyrinth	219,571	100%
Bayes	60,584	83%
Yada	9,795	100%
Vacation-high	3,223	86%
Genome	1,717	97%
Intruder	330	33%
Kmeans-high	117	7%
SSCA2	50	17%

② parallelization



③ evaluation criteria

performance: speed-up

developer effort: lines changed + qualitative assessment

Summary of results

	Speed-up original		Speed-up Chocola	Lines of code added	
Labyrinth	1.3	↗	2.3	+11%	8 cores
Bayes	2.8	↗	3.5	+1	
Vacation2	2.6	↗	33.2	+8%	64 cores
Yada	futures/actors not applicable				

Better performance for little effort

☞ Chapter 8

☞ https://github.com/jswalens/{labyrinth,bayes,yada,vacation2}

Contributions

- Systematic study of combinations of concurrency models in Clojure [Swalens et al., 2014]
- Systematic study of combinations of futures, transactions, and actors
- Transactional futures [Swalens et al., 2016]
- Transactional actors [Swalens et al., 2017]
- Unified framework – Chocola: [Swalens et al., 2018; accepted]
  - Implementation
  - Formal semantics
  - Evaluation

Swalens, Marr, De Koster, Van Cutsem (2014). *Towards Composable Concurrency Abstractions* (PLACES'14)
Swalens, De Koster, De Meuter (2016). *Transactional Tasks: Parallelism in Software Transactions* (ECOOP'16)
Swalens, De Koster, De Meuter (2017). *Transactional Actors: Communication in Transactions* (SEPS'17)
Swalens, De Koster, De Meuter (2018). *Chocola: Integrating Futures, Actors, and Transactions* (accepted for AGERE'18)

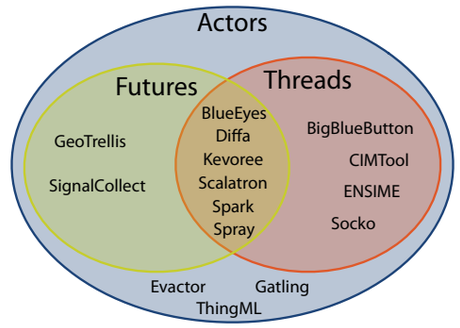
Future work

- Formal proofs of guarantees
- Other concurrency models
- Applicability & more benchmarks
- Comparison of implementation techniques

Conclusion

Concurrency models are combined

Naive combinations violate guarantees



		inner					
	Races	Atom	Agent	STM	Future	Promise	Channel
outer	Atom's `swap!`	✗	✗	✗	✗	✗	✗
	Agent's action	✓	✓	✓	✓	✓	✓
	STM's `dosync`	✗	✓	✓	✗	✗	✗
	Future	✓	✓	✓	✓	✓	✓
	CSP's `go`	✓	✓	✓	✓	✓	✓

We studied the combinations of futures, transactions, and actors

→ Transactional Futures

→ Transactional Actors

↪ Chocola

→in↓	Future	Transaction	Actor
Future	Nested futures (Section 3.3.3) Det	Parallel transactions (Section 4.1) ~~Det~~ Iso Pro	Communication in future (Section 6.1) ~~Det~~ ITP DLF
Transaction	Parallelism in transaction (Sections 4.2–4.4) ~~Det~~ → ITD Iso Pro	Nested transactions (Section 3.3.3) Iso Pro	Communication in transaction (Chapter 5) Iso Pro ~~ITP~~ → LLRF DLF
Actor	Parallelism in actor (Section 6.1) Det ITP DLF	Shared memory in actor (Chapter 5) Iso Pro ~~ITP~~ → LLRF DLF	Actors (Section 3.3.3) ITP DLF